



INVESTOR IN PEOPLE

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated

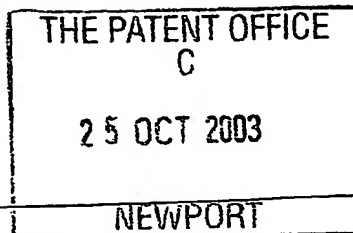
1 November 2004

BEST AVAILABLE COPY

The Patent Office  
Cardiff Road  
Newport  
NP9 1RH

# Patents Form 1/77

## Request for grant of a patent



1. Your Reference

DJC/DMH/Y1162

2. Application number

0324942.2

25 OCT 2003

3. Full name, address and postcode  
of the or each Applicant

Country/state of incorporation  
(if applicable)

Creo Products Limited  
Burlington House  
10-11 Ribblesdale Place  
Preston  
Lancashire  
PR1 3NA

8739955001

Incorporated in: United Kingdom

4. Title of the invention

BALING APPARATUS AND METHOD

5. Name of agent

APPLEYARD LEES

Address for service in the UK to  
which all correspondence should  
be sent

15 CLARE ROAD  
HALIFAX  
HX1 2HY

Patents ADP number

190001 ✓

6. Priority claimed to:

Country

Application number

Date of filing

7. Divisional status claimed from:

Number of parent application

Date of filing

8. Is a statement of inventorship and  
of right to grant a patent required in  
support of this application?

YES

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 29

Claim(s)

Abstract

Drawing(s) 26

*only 26*

10. If you are also filing any of the following, state how many against each item

Priority documents

Translation of priority documents

Statement of inventorship and right to grant a patent (PF 7/77)

Request for a preliminary examination and search (PF 9/77)

Request for substantive examination (PF 10/77)

Any other documents (please specify)

11.

We request the grant of a patent on the basis of this application.  
Signature Date

APPLEYARD LEES

24 October 2003

*Appleby Lees*

12. Contact

David J Chugg- 01422 330110

**BALING APPARATUS AND METHOD**

The invention relates to a baling apparatus and method.

5

Baling machines and presses are well known in the art for the compaction and storing of materials such as hay, straw, cardboard, food waste and paper waste. Generally, known baling apparatus is relatively bulky and heavy and  
10 for these reasons is often restricted to industrial type settings. However, the use of baling in order to compact and store material can be an advantageous way to deal with particular types of waste, such as shredded paper waste. Indeed, many offices (for instance) shred their paper  
15 waste in order to routinely dispose of printed matter in a secure fashion. By their very nature, paper shredders tend to produce an output which takes up a lot of space and on-site baling of such shredded output is therefore clearly desirable in order to reduce storage requirements.  
20 Further, baling is a very economical way of storing material and preparing for transport as packaging is kept to a minimum.

It is an aim of embodiments of the present invention  
25 to provide a baling apparatus which is simple to implement and relatively space efficient.

According to a first aspect of the invention, there is provided baling apparatus comprising at least two mutually  
30 opposed and inclined conveyors, each of said conveyors having a first end and a second end, wherein the first ends of each conveyor are arranged to be closely adjacent to each other and the second ends are arranged to be

spaced apart to form an entry region into which material to be baled may be deposited, the apparatus being arranged such that material deposited into the entry region moves toward a pinch region where the two conveyors are close to one another and where the deposited material is rolled and compressed into a bale, the conveyors being mutually displaceable and resiliently biased towards one another.

10        Preferably, said conveyors comprise a pair of conveyors driven in opposed directions and provided in a V formation, the first ends of the conveyors forming the base of the V, and the second ends forming the top of the V.

15        Each conveyor may be arranged to pivot about its first end to broaden the pinch region as more material is deposited.

20        Preferably, the second end of each conveyor is arranged, in use, so as to travel along a fixed path guided by guide means and the first ends are each arranged so as to pivot about respective rotational axes, the second ends of the conveyors being arranged to diverge, in use, as more waste material is baled between the two opposed conveyors.

30        Preferably, each conveyor has a belt of substantially constant length, the conveyors being arranged to as to bow outwardly as the circumference of baled material held between them increases, avoiding the need for a separate belt take-up means.

Each conveyor preferably includes a plurality of rollers.

5 Preferably, each conveyor comprises a plurality of articulated sections.

10 Preferably, resilient biasing means is provided to resiliently bias the second ends toward one another, such that, as more and more material is deposited into the entry region the resilient biasing acts so as to compact the material between the opposed conveyor belts.

15 Preferably, the resilient biasing means comprises a spring and a guide, the guide having first and second ends and the spring acting so as to bias an associated second end of the conveyor toward the first end of the guide.

20 Preferably, as more and more material is deposited into the gap between the two conveyors and the size of the compacted material held between them increases, the resilient biasing is opposed and the resiliently biased second end of the conveyor is guided by said guide from a position adjacent the first end of the guide toward a position nearer to the second end of the guide.

25

Preferably, as more and more material is deposited into the gap between the two conveyors, the second ends of the conveyors are forced apart against the action of the resilient biasing means to travel along a path dictated by  
30 the guide means.

Preferably, each second end of each conveyor is provided with resilient biasing means. Alternatively,

only one conveyor may be provided with the resilient biasing means.

Preferably, means are provided for mutually spreading  
5 the second ends of the conveyors following the end of a  
baling operation to facilitate the removal of material  
which has been compacted between the conveyors. Such  
means may comprise disengaging at least one second end  
from its associated resilient biasing means to allow said  
10 at least one second end to be freely moved away from the  
second end of the other conveyor. Alternatively, such  
means may comprise disengaging an associated resilient  
biasing means from a fixed chassis mounting point to allow  
both the resilient biasing means and the associated second  
15 end of conveyor to move. Of course, such means may be  
provided to allow removal of the baled material from  
either side of the apparatus or from top or bottom access  
areas.

20 According to a second aspect of the invention,  
there is provided baling apparatus comprising at least two  
mutually opposed and inclined conveyors, each conveyor  
having a first end and a second end, the second ends  
forming a material entry end of the apparatus, and a point  
25 intermediate the first and second end of each conveyor  
being arranged to form a pinch region of the apparatus  
where the two conveyors are close to one another to roll  
and compact material between them, the pair of conveyors  
being mutually displaceable and resiliently biased towards  
30 one another at the pinch region.

Preferably, said conveyors comprise a pair of  
conveyors provided in a Y formation, the first ends of the

conveyors forming the base of the Y, and the second ends forming the top of the Y.

Preferably each conveyor comprises a plurality of  
5 rollers (or guides) around which extends a continuous belt.

Preferably, each conveyor comprises at least a pair of end rollers, defining outer limits of the conveyor and at  
10 least one intermediate roller.

Preferably, the pinch region is defined by an adjacent relation of intermediate rollers of the opposed conveyors forming an area of constriction within which, in use,  
15 material to be baled gathers to be rolled.

Preferably, at least one intermediate roller of one of the conveyors is resiliently biased toward the pinch region such that as more waste material is baled between  
20 the opposed conveyors the resilient biasing acts to compact the waste material.

Preferably, the at least one intermediate roller is resiliently biased toward the pinch region by resiliently  
25 biased guide means, such that as the bale expands, intermediate rollers of the opposed conveyors are forced away from one another along defined paths.

Preferably, the rollers at the second end of each  
30 conveyor are arranged, in use, so as to travel along a fixed path guided by guide means, the second ends of the conveyors being arranged to diverge, in use, as more waste



material is baled between the two opposed conveyors to broaden the entry region.

Preferably, each conveyor has a belt of substantially  
5 constant length, the conveyors being arranged to bow outwardly as the circumference of baled material held between them increases.

Preferably, resilient biasing means is provided to  
10 resiliently bias the second ends toward one another, such that, as more and more material is deposited into the entry region the resilient biasing acts so as to compact the material between the opposed conveyor belts. Preferably, the resilient biasing means at the second ends  
15 are further arranged to provide tension to the associated conveyor.

The resilient biasing and the guide means may be provided by either separate or combined resilient biasing and  
20 guiding means operating along shared or separate axes. For instance, the resilient biasing may take the form of a spring in tension or compression, or a hydraulic, gas or pneumatic cylinder or any other suitable means. The guiding means may comprise slots formed in chassis members  
25 constricting movement of conveyor rollers/guides to particular pathways, or may comprise elongate members with end stops and over which a spring may be arranged, or could for instance comprise some form of mechanical linkage to restrict movement of the conveyors in various  
30 directions. Therefore, while a few types of resilient biasing and guiding means have been mentioned, it will be appreciated that the invention should not be limited to the particular examples discussed.

Preferably, as more and more material is deposited into the gap between the two conveyors and the size of the compacted material held between them increases, the resilient biasing is opposed and the guide means is arranged to guide the associated roller from a position adjacent the first end of the guide toward a position nearer to the second end of the guide.

Preferably, a roller at each second end of each conveyor and at least one intermediate roller of each conveyor is provided with resiliently biasing and guide means. Alternatively, only one conveyor may be provided with the resilient biasing means.

Preferably, means are provided for mutually spreading the second ends of the conveyors following the end of a baling operation to facilitate the removal of material which has been compacted between the conveyors. Such means may comprise disengaging at least one second end from its associated resilient biasing means to allow said at least one second end to be freely moved away from the second end of the other conveyor. Alternatively, such means may comprise disengaging an associated resilient biasing means from a fixed chassis mounting point to allow both the resilient biasing means and the associated second end of conveyor to move or may comprise means for pivoting one conveyor away from the other conveyor. Of course, such means may be provided to allow removal of the baled material from either side of the apparatus or from top or bottom access areas.

Means for facilitating the removal of compacted material from the apparatus may comprise movement of the first and second conveyors away from one another to provide access to the compacted material. Here, the first conveyor may be supported by a first sub-chassis, and the second conveyor by a second sub-chassis, removal of the compacted material being facilitated by disassociating the first sub-chassis from the second sub-chassis - here, "disassociating" includes, but is not limited to, spreading the apparatus by pivoting one sub-chassis away from the other to allow access to an interior of the apparatus. The biasing means and guide means may be associated with respective sub-chassis and remain associated with such sub-chassis both during compacting operations and operations for removal of compacted material.

Means may be provided for gathering material falling outside of the entry region to incorporate such material into the bale. Such means may comprise an outer skin positioned toward a return side of each conveyor away from a baling side, the outer skin being arranged such that when the bale is near a maximum size, the conveyor is arranged to pick up such material trapped between it and the outer skin and transport this material toward the pinch region.

The apparatus may further comprise a bale wrapping mechanism for wrapping completed bales.

30

The apparatus of the first and second aspects may be provided with any of the features of any of the other aspect in any logical combination.

According to third aspect of the invention, there is provided a method of baling material, the method comprising:

5

(A) depositing material to be baled into an entry region formed between two mutually inclined and opposed conveyors;

10 (B) driving the conveyors in opposite directions to roll the deposited material between them; and

(C) resiliently biasing the conveyors toward one another at a pinch region to compact the deposited material  
15 into a bale.

Preferably, material deposited in step (A) is moved from a relatively wide entry region to the narrower pinch region where it is compacted and rolled.

20

Movement from the wide entry region to the narrower compaction region may be under gravity, air/gas pressure, friction or hydraulic pressure or any combination thereof.

25 Preferably, the conveyors are biased towards one another by resilient biasing means. The resilient biasing means are preferably provided adjacent the entry region and/or adjacent the pinch region.

30 Preferably, as more material is deposited, the pinch region is arranged to expand against the action of the resilient biasing to compress material within the pinch region. Preferably, as more material is deposited, the

entry region is arranged to expand to allow entry of more material and, under action of the resilient biasing to compact it.

5       The method of the third aspect may include any of the limiting features of the first or second aspects in any logical combination.

10       For a better understanding of the invention, and to show how embodiments of the same may be carried into effect, reference will now be made, by way of example, to the accompanying diagrammatic drawings in which:

15       Figures 1 through 5 show a baling apparatus according to a first embodiment of the invention, the baling apparatus being shown in various configurations according to a quantity of material being baled;

20       Figure 6 shows the apparatus of Figures 1 through 5 in a configuration in which a complete bale of material may be removed;

25       Figure 7 is a schematic diagrammatical side view of one of the conveyors of the apparatus of Figures 1 through 6 shown with the conveyor belt removed;

30       Figures 8A through 8J show a baling apparatus according to a second embodiment of the invention, the baling apparatus being shown in various configurations according to a quantity of material being baled and further showing how a complete bale of material may be removed;

Figure 9 is a view similar to the view of Figure 8E, but showing more detail of rollers and drive mechanisms;

Figure 10 shows a chassis arrangement with details of individual rollers, biasing and drive means;

Figure 11 shows the chassis arrangement of Figure 10, but complete with drive belts;

Figure 12 is a view showing hidden detail of the arrangements of the Figure 11 embodiment;

Figure 13 shows a view of a left hand part of the chassis arrangement, roller and belt arrangement;

Figures 14A through D show the essential features of a baling machine in accordance with an embodiment of the invention in various configurations.

Figure 1 shows baling apparatus comprising a first conveyor 10, a second conveyor 12, first resilient biasing means comprising a first guide 14, and first spring 18 and second resilient biasing means comprising a second guide 16 and second spring 20.

Each of the first and second conveyors 10, 12 comprise driven rollers 10d, 12d positioned at a first end thereof, and return rollers 10r, 12r positioned at a second end, as well as plurality of intermediate rollers 10i<sub>1</sub>, 10i<sub>2</sub>, 12i<sub>1</sub>, 12i<sub>2</sub>. Each of the intermediate rollers 10i<sub>1</sub> through 12i<sub>2</sub> provide a point of articulation of the conveyors 10, 12, such that each conveyor may hinge about the central rotational axis of each of said intermediate rollers.

Further, the first and second conveyors 10, 12 also include a belt 10B, 12B which is driven by the driven rollers 10d, 12d in continuous fashion. Here, the belts 10B, 12B work in opposition.

5

The resilient biasing means comprising springs 18, 20 and guides 14, 16 act to urge the return rollers toward inner end stops (not shown) of the guides which in an initial configuration limit the innermost point of travel of the return rollers 10r, 12r and define a minimum width of an entry region 40 into which material to be compacted and baled is deposited.

Operation of the baling apparatus of Figure 1 will now be described with the aid of Figures 1 through 6.

It will be noted from Figure 1, that the driven rollers 10d, 12d situated at a first end of the first conveyor 10 and second conveyor 12 are situated relatively close to one another forming a pinch region of the apparatus in which the belts 10B, 12B are closest to one another, whilst, at the second ends the return rollers 10r, 12r are relatively far apart at positions defined by inner end stops so as to form the entry region 40 into which waste, such as paper, may be deposited. Here, the apparatus is shown as working under gravity/friction such that material dropped into the entry region 40 between the return rollers 10r, 12r will fall towards the first end of the conveyors 10, 12. The central axis of each return roller 10r, 12r is constrained so as to move along a path dictated by the first guide 14 and second guide 16, respectively, as more and more material is deposited into

the baling apparatus. This principle is explained in more detail in relation to Figures 2 through 5 below.

Whilst Figure 1 shows an initial state of the baling apparatus, without any waste material having been deposited into it, Figure 2 shows a second state in which a moderate amount of material has been deposited into the entry region 40 between the return rollers 10r, 12r. Here, the material 30 is shown in a baled fashion that it will naturally assume if the conveyor belts 10B, 12B drive in opposed directions as shown by the arrows of Figure 1. This natural baling effect occurs because the waste material naturally travels under gravity towards the first ends defined by the driven rollers 10d, 12d. The first conveyor belt 10B tends to try and move the waste toward the first end, whilst the second conveyor belt 12B acts on the opposite side of the waste material to drive towards the open mouth formed by the entry region 40. With one side of the waste being driven in one direction, and the other side being driven in the other direction, and gravity acting to keep the waste between the two conveyor belts 10B, 12B, the material is naturally rolled whilst at the same time compression is caused by the first and second resilient biasing means (i.e. here, the springs 18, 20) to compact or "pinch" the material. Here, the rolling and compacting actions form a bale 30 of cylindrical shape.

The material of the belt 10B, 12B is directly supported underneath it only at points defined by the intermediate rollers 10i<sub>1</sub>, 10i<sub>2</sub> and 12i<sub>1</sub>, 12i<sub>2</sub> and outside of those points it may flex somewhat to adapt to the naturally formed curvature of the outer surface of the



waste being baled. However, as more and more material is deposited into the mouth of the apparatus, the flexibility of the belt surfaces 10B, 12B alone is insufficient to accommodate the increased bulk and circumference of material being baled. As a consequence, the second ends defined by the return rollers 10r, 12r are forced apart against the action of the first and second resilient biasing means in the form of springs 18, 20 to increase the size of the entry region 40. Here, the second ends of the conveyors 10, 12 move from positions defined by end stops (not shown) of the first guide 14 and second guide 16, and in so doing compress the springs 18, 20.

To put the above in another way, in the initial positions shown in Figure 1, the second ends of the first and second conveyors 10, 12 are positioned at inner end stops (not shown) of the first and second guide means 14, 16 and resiliently urged towards those end stops by the springs 18, 20. As more material is deposited into the entry region 40 of the baling apparatus, the bulk of material inside the baling apparatus is at first compressed at the pinch region under natural action of the springs 18, 20 and secondly as more and more material is deposited and the springs 18, 20 compact the material ever more tightly, a point is reached at which the material cannot be compressed any more by the springs and the natural flex of the belts 10B, 12B alone is unable to flex enough to accommodate the increased bale bulk. Therefore, at this point pressure build up against the springs 18, 20, compresses them and forces the return rollers 10r, 12r away from each other along the paths defined by the first and second guides 14, 16.

The intermediate rollers  $10i_1$ ,  $10i_2$ ,  $12i_1$ ,  $12i_2$  in preferred arrangements form hinge points of the conveyors 10, 12 and allow the overall formation of the conveyors to adopt a bent configuration. This hinging may be achieved  
5 by linking the ends of axles of each roller to axles of its adjacent roller by pairs of plates - using a cycle chain type arrangement.

Referring now in detail to Figure 7, it can be seen  
10 that each roller  $10i_1$ ,  $10i_2$ ,  $10d$ ,  $10r$  has a central rotational axis defined by axles  $Ad$ ,  $Ai_1$ ,  $Ai_2$  and  $Ar$ . These central rotational axes extend beyond their respective roller to form hinge points for side plates  $S1$ - $S6$ . Each side plate links end portions of a pair of  
15 adjacent axles to enable the conveyors to articulate. Also shown on Figure 7 is a motor  $M$  which is shown as driving the axle  $Ad$  of the driven roller  $10d$ . It will be appreciated that the conveyor 12 may also be constructed in a similar fashion.

20

While Figure 7 shows one example of how the conveyor may run over articulated sections, it will be apparent to the skilled man that such articulation is not essential to the invention.

25

It should be noted that the length of each conveyor 10, 12 does not significantly change to any great extent as more and more material is accommodated between the conveyors 10, 12. Indeed, whilst this is not an essential  
30 feature of the invention, distances between adjacent rollers may remain constant.

The bale therefore grows with the influx of more material and the apparatus adapts its configuration to the growing bale in the manner shown by Figures 2 through 5.

5 One particularly important point to note about the arrangements of the above described embodiment of the present invention is the fact that the resilient biasing means formed by the springs 18, 20 in themselves both act to pressurise and compact the material as it is baled and  
10 to provide the means by which the compacting region of the apparatus is allowed to expand.

Referring to Figure 5, it will be noted that at this point the largest possible diameter of baled material is  
15 now held within the apparatus and that the return rollers 10r, 12r are now positioned at outer end stops (not shown) of the first and second guides 14, 16. In use, an alarm or signal would then be given to the user of the apparatus to alert to the fact that the bale is at a maximum size  
20 and should be removed.

Referring now to Figure 6, there is shown a configuration of the apparatus in which the bale may be removed. Here, the second ends of the conveyors 10, 12  
25 have been spread apart by spreading means to allow withdrawal of the bale 30. The spreading means may for instance, comprise hinge means (not shown) to allow the normally fixed first and second guide means 14, 16 to swing away from their normal configurations and provide a  
30 larger mouth region out of which the bale may be removed.

In use of the apparatus of Figures 1 through 6, it has been found advantageous to use a starting roll, such a

piece of balled up paper, a cardboard roll, a wooden  
doweling or other similar item (not shown) which can be  
entered into the space 40 between the two belts 10B, 12B  
at initial start-up, in order to provide a useful form  
5 around which the bale will automatically construct.

Another possibility is to provide deflector plates or  
rollers to retain an initial mass of the bale adjacent to  
the location of the intermediate roller (or rollers).

10

From the above, the general configuration of one  
embodiment of a baling apparatus has been described. It  
will be appreciated that a number of features have been  
omitted from the description and the drawings, in order to  
15 demonstrate the principles of baling applied. It will be  
noted for instance, that the driven rollers 10d, 12d do  
not have their associated motors shown. However, the  
skilled man will realise that these driven rollers 10d,  
12d may be driven by an either internally mounted or  
20 externally mounted motor and such drive may be by means of  
direct drive, belt, chain, etc. It will also be  
appreciated that whilst no apparatus chassis is shown, the  
presence of such a chassis or housing is implicit.  
Requirements of the chassis being such that the various  
25 different described integers are supported in a way which  
allows the apparatus to function in the manner described.

Referring now to Figures 8A through 8G, a second  
embodiment of baling apparatus is shown schematically and  
30 will be described now.

Referring to Figures 8A to 8G, the apparatus of the  
second embodiment comprises similar elements to the

elements of the first embodiment, but instead the first and second conveyor mechanisms designated 100, 120 are arranged in an initial Y-type formation, instead of a V-type formation and three rollers instead of four are employed by each conveyor.

In more detail, the apparatus of the second embodiment comprises a first conveyor mechanism 100, and second conveyor mechanism 120. In analogous fashion to the first embodiment, each of the first and second conveyor mechanisms comprise driven rollers 100d, 120d, return rollers 100r, 120r and intermediate rollers 100i, 120i. In contrast to the first embodiment only one intermediate roller is provided for each of the first and second conveyor mechanisms 100, 120. Biasing mechanisms are provided associated with the intermediate rollers and return rollers. Here, the biasing mechanisms conveniently comprise compression springs and guides 180i, 200i for the intermediate rollers 100i, 120i and springs and guides 180r, 200r for the return rollers 100r, 200r - it will be appreciated though that other biasing means such as powered cylinders (gas, air, hydraulic for instance) and guide means (such as sliders, slots, linkages) could equally be employed. Each of the first and second conveyor mechanisms also comprise belts 100B, 120B.

Referring in detail to Figure 8A, the apparatus of the second embodiment is shown in an initial configuration prior to the introduction of waste material. Here, it would be appreciated that of course the belts 100B, 120B are arranged to counter rotate in the same manner as the belts 10B, 12B of the first embodiment, such that material held between them is rolled.

Referring to Figure 8B, a first stage in a baling operation is shown. Here, material has been introduced and a roll started. Due to the fact that the driven  
5 rollers 100d, 120d are fixed in position relative to one another, and the intermediate rollers 100i, 120i are spring biased into position so as to urge them toward one another, material introduced into a gap 400 at the upper  
10 ends of the first and second conveyors will, as it grows, naturally rise to a point just below the intermediate rollers 100i, 120i, such that as the bale grows, the two intermediate rollers are forced gradually apart with the compression springs being further linearly compressed and  
15 moving from a position where the associated axle of the roller is at an inner end stop position of the guides to a position slightly displaced from the inner end stop. It will be noted here that as the springs are further compressed the material being rolled is further compacted so as to form a compacted and initially small bale 300.

20

Figure 8C shows a second stage in bale formation, where it can be seen that the bale has grown to a point at which the two intermediate rollers 100i, 120i begin to be forced apart and their central axes begin to travel along  
25 the path defined by the resilient biased means 180i, 200i comprising springs and guides.

As the size of the bale 300 increases, intermediate rollers 100i, 120i are forced further and further apart as  
30 shown in Figure 8D and the return rollers 100r, 120r are also forced to begin travel along the axes defined by their associated resilient biasing means 180r, 200r. This

latter travel starts to open up the entry area 400 of the baling mechanism to make it wider.

In Figure 8F, it can be seen that the bale 300 has now  
5 reached a maximum size, in which the intermediate rollers, 100i, 120i have reached the end of their travel as defined by the central longitudinal axis of the guide parts of the biasing means 180i, 200i and, similarly, the return rollers 100r, 120r are in their extreme positions as  
10 defined by outer end stops (not shown) of associated biasing means 180r, 200r.

Referring now to Figure 8G, a first schematic representation is shown by which the bale 300 may be  
15 removed from the baling apparatus. In this illustration, it can be seen that an arrangement is provided which allows the two return rollers to swing out, away from a central line of the baling apparatus to increase gap 400 sufficiently to remove the bale 300 from the top of the  
20 apparatus. This arrangement may be realised by associating the left side conveyor mechanism 100 with a left side chassis (not shown) and the right side mechanism 120 with a right side chassis (not shown) and hinging the left and right side chassis together adjacent the drive  
25 rollers 100d, 120d.

Figure 8H shows an alternative arrangement to Figure 8G and shows a possibility in which the whole of one side of the baling apparatus may be swung away from the other  
30 side so as to allow side exit of a completed bale 300. Here, a right side of the apparatus is shown being swung away from a stationary left side, about a pivot defined,

approximately, by the central axis of the driven roller 120d of the second conveyor mechanism 120.

Figure 8I shows the arrangement of 8H in which  
5 intermediate roller 120i is allowed to move along the axis  
of its associated guide to spring up. In this  
arrangement, as a right side of the machine is swung away  
from a left side the intermediate roller 120i is allowed  
to move upwardly along the axis defined by intermediate  
10 biasing means 200i and this may provide an easy ramped  
surface defined by the configuration of belt 120B, to help  
an operative easily and controllably remove the bale 300  
from the apparatus. Indeed, a removal operation may  
further be assisted by allowing, in a stage 8J subsequent  
15 to stage 8I, the intermediate roller 100i of the left side  
of the apparatus to move along an axis defined by  
intermediate biasing means 180i, so as to help urge the  
bale 300 out from within the machine.

20 It will be appreciated that the arrangements of Figure  
8A to J were described in a schematic, illustrative  
fashion. In Figures 9 through 13, more details of a  
practical mechanism for implementing the arrangements  
shown in Figures 8A onwards will be described.

25

Referring now to Figure 9, a view similar to that  
shown in Figure 8E of the second embodiment is shown, but  
showing in three dimensions the configurations of the  
various rollers 100d, 100i, 100r, 120d, 120i, 120r and  
30 also showing a drive mechanism 400 comprising a driven  
shaft 400s, and a belt mechanism 400B linking the two  
driven rollers 100d, 120d to each other and driving them  
in counter rotation.



Figure 10 shows a chassis arrangement and details of a springing arrangement for maintaining the various different rollers of the second embodiment in proper  
 5 relation to one another.

In more detail, the chassis arrangement of Figure 10 includes a front left chassis part 110, a front right chassis part 140, a rear left chassis part 130 and a rear  
 10 right chassis part 150. Here, the biasing means 180r, 180i, 200i, 200r comprise springs arranged in compression, so as to urge return rollers 120i, 120r toward inner end stops defining an entry region 400 of the apparatus, whilst the springs 180i, 200i urge the intermediate  
 15 rollers 100i, 120i toward closely spaced apart central positions. The isometric view of Figure 10 also shows that the configuration of the various different biasing means 180i, 180r, 200i, 200r on the front two chassis sides 110, 140 is mirrored by similar placement of biasing  
 20 means on the rear two chassis plates, 130, 150.

Figure 11 shows the chassis arrangement of Figure 10, with the belts 100B, 120B in place, so as to form a Y configuration between the left and right sides, whilst  
 25 Figure 12 shows the hidden detail of springs and rollers, and Figure 13 is a view showing the front and rear of the left side of the arrangements, with chassis plates 110, 130 and belt 100B.

30 Referring now to Figures 14A through 14D, a fully built up baling machine incorporating the principles explained in relation to Figure 8A through 8J and the mechanism described in Figures 9 through 13 is shown.

Here, in this machine the front left chassis plate 130 and front right chassis plate 140 are shown, the individual rollers, belt and biasing means as previously described are also shown (here, it will be noted that this view  
5 actually shows hidden detail and that an external view of the baling apparatus would actually obscure these internally located mechanisms). Also, there is shown an internal profile 610, 620 to the left and right sides of the conveyor mechanism. These internal profiles 610, 620  
10 may comprise back plates running the full depth of the baling machine and their use and operation will be described later. Also shown in Figure 14A is a motor M, with drive belt 400B for driving the two driven rollers, and a top, entrance part of the machine 500 is shown, this  
15 entrance part also includes entry rollers 510, 520 for regulating an intake flow of material and includes a bale wrapping mechanism 540 with bale wrap material 550. The entire apparatus stands on legs 700.

20 Figure 14A shows the initial configuration of machine in which a small amount of material has already been entered and a bale 300 is beginning to be formed. Growth of the bale continues in the manner already described in relation to the Figure 8 sequence, until the situation  
25 shown in Figure 14B is arrived at, in which a maximum size of bale is held within the machine. As may now be clearly seen from Figure 14B, when a maximum size of bale 300 is held within the apparatus, and the return rollers 100r, 120r and intermediate rollers 100i, 120i are at their  
30 maximum deflection positions, the belts 100B, 120B are, on their return faces (i.e. the face non-adjacent to the bale 300) in close proximity with the backing plates 610, 620. In this manner, any material which, at any time had

inadvertently passed to the wrong side of the conveyor belt will now be picked up by frictional contact with the relevant return face and brought around to the bale side face to be incorporated within the bale 300. At this point, the wrapping mechanism 540 comprising a roll of bale wrapping material 550 may be employed so as to secure the bale 300 prior to removal.

Referring now to Figure 14C, the top part 500 of the baling machine is shown hinged away to allow access to the mechanism from above. In Figure 14D, the whole right side of the mechanism, comprising a right side sub-chassis (consisting of right side front chassis plate 140 and right side rear chassis plate 150), together with associated right side rollers and belts, biasing means and guides etc. is shown swung away from a left side sub-chassis (consisting of left side front chassis plate 110 and left side rear chassis plate 130) and left side rollers, belts, biasing means, guides etc., so that the belts adopt the configuration previously described in relation to Figure 8I, to allow the bale 300 to be removed from the side of the machine.

From the above description it will be clear that the invention provides many advantages over other compacting arrangements. Particularly advantageous, is the fact that the use of belts allows the machine to be very efficient in use of energy, with the belt tension itself supplying the drive of the roll (bale). Because the rollers are under a spring force and in constant contact with the roll (through the belt), this results in the bale being much more compact than would be if it were to rely on tangential belt tension alone. The design as disclosed in

Figures 8 onwards utilising only three rollers, gives a particularly efficient design by minimising the number of belt pivot points. Here, it will be noted that the top rollers (i.e. the return rollers) provide belt tension as well as compaction. The use of the V or Y design when combined with the belt tensioning method negates the need for additional surplus belt length and associated belt length take-up.

10       The facility to utilise an outer skin, comprising the back plates 610, 620, is advantageous and waste efficient to prevent waste from escaping and encourages such waste to be dragged back into the V (or Y) hence providing a self-cleaning mechanism.

15       It will be appreciated that the above described embodiment merely illustrates the principles involved in implementing the invention in preferred ways and that various modifications may be made within the scope of the  
20       invention.

      In a first variation, instead of material deposited in the apparatus being gravity fed, it may instead be conveyed toward the pinch region in other ways. For  
25       instance, under air or gas pressure, mechanical pressure or fluid pressure.

      Further, whilst the resilient biasing means is shown acting on each conveyor it will be realised that one  
30       conveyor may be kept in a substantially fixed orientation whilst the biasing means acts to bias the (second end of the) other conveyor towards it.

The resilient biasing means and the guide means may be provided by either separate or combined resilient biasing and guiding means operating along shared or separate axes. For instance, the resilient biasing may take the form of a spring in tension or compression, or a hydraulic, gas or pneumatic cylinder or any other suitable means. The guiding means may comprise slots formed in chassis members constricting movement of conveyor rollers/guides to particular pathways, or may comprise elongate members with end stops and over which a spring may be arranged, or could for instance comprise some form of mechanical linkage to restrict movement of the conveyors in various directions. Therefore, while a few types of resilient biasing and guiding means have been mentioned, it will be appreciated that the invention should not be limited to the particular examples discussed.

In other variations the guide may not guide over linear paths, but instead over any desired curved path. For instance, opposed ends of an axle of the return roller(s) or of an intermediate roller may be guided by curved slots formed in a support carriage (not shown) or by any form of appropriate mechanical linkage.

Although mechanisms for aiding the initial formation of a bale, such as feeding the machine initially with a cardboard roll have been discussed, it will be appreciated that rolls may be started in a number of different ways. For instance, it has been found that in the Y-type design, no starter roll may be required. However, other mechanisms for starting rolls may comprise providing one or more deflector plates within the apparatus, or rollers within the apparatus to retain an initial mass of a bale

in an appropriate position adjacent the intermediate rollers. Also, whilst means for independently positioning individual rollers have not been described, it will be appreciated that mechanisms for changing the position of intermediate rollers in order to start a roll may be provided.

It will be appreciated that various different materials may be utilised in the present invention. For instance, conveyor belt material may comprise rubber, plastic, chain, webbing, V-belts, timing belts, woven fabrics, composites or any other suitable material. In other possible variations, a belt may be replaced by a series of closely spaced rollers. Also, wherever "belt" is mentioned, it will be appreciated that more than one belt is encompassed by this term. For instance, a plurality of side by side arranged belts, to form a single wide composite belt may be envisaged.

It will be appreciated in the above described embodiments that the tension of the drive belts 100B, 120B supplies drive to the intermediate and return rollers. However, the skilled man will also realise that roller movement itself could be achieved by either slots, linkage arms, lead screws, linear guides, gears, friction devices, cams or other convenient means.

As far as the biasing means are concerned, such biasing means may comprise tensioning device which could be either sprung (compression or tension) and include the possible use of stretched rubber, motor driven arrangements, pneumatic driven arrangements, hydraulic, gravity or weight driven arrangements.

As far as the rollers themselves are concerned, such rollers could be integral roller/bearing/shaft type, separate items, solid or hollow, manufactured from metal, plastics or composite or other convenient material. Roller bearings may be traditional steel, plastics, composite, brass, oilite, etc.

In the arrangement shown in Figure 14 and in other convenient arrangements it will be appreciated that the design of the chassis (frame) allows the spreading of the V-Y shape to allow the bale to be removed. The frame design includes spaces for a bale wrapping mechanism and space for the drive. It will be appreciated that any particular drive design for the mechanism may be selected. For instance, the drive may be by self-contained rollers containing motor/gear boxes, an external drive (motor/gear box) via gears, drive belt, drive shafts or other linking mechanisms, or even hand operated or clockworks.

Attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.

All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually exclusive.

Each feature disclosed in this specification (including any accompanying claims, abstract and drawings) may be replaced by alternative features serving the same, 5 equivalent or similar purpose, unless expressly stated otherwise. Thus, unless expressly stated otherwise, each feature disclosed is one example only of a generic series of equivalent or similar features.

10 The invention is not restricted to the details of the foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any 15 novel one, or any novel combination, of the steps of any method or process so disclosed.



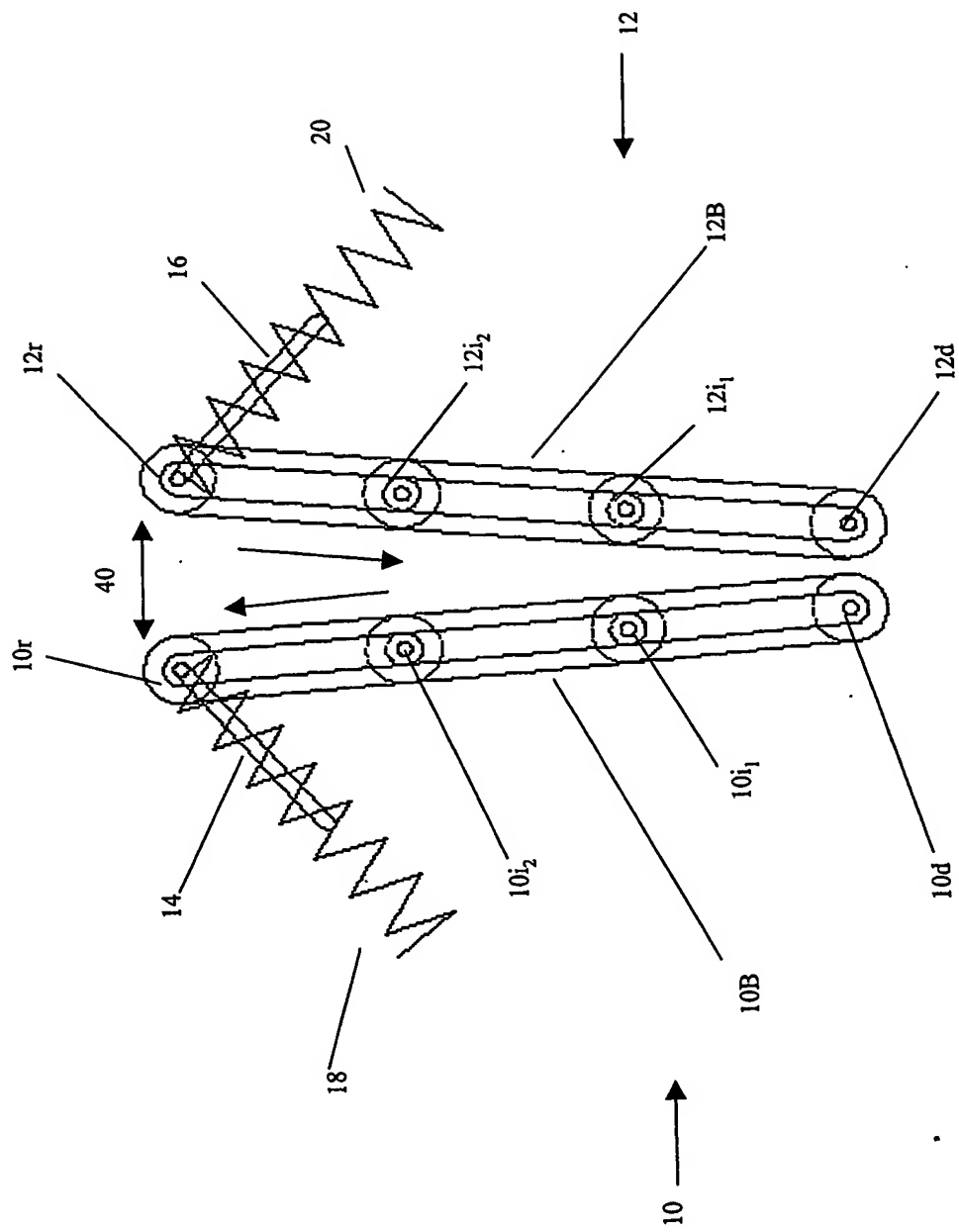


Figure 1

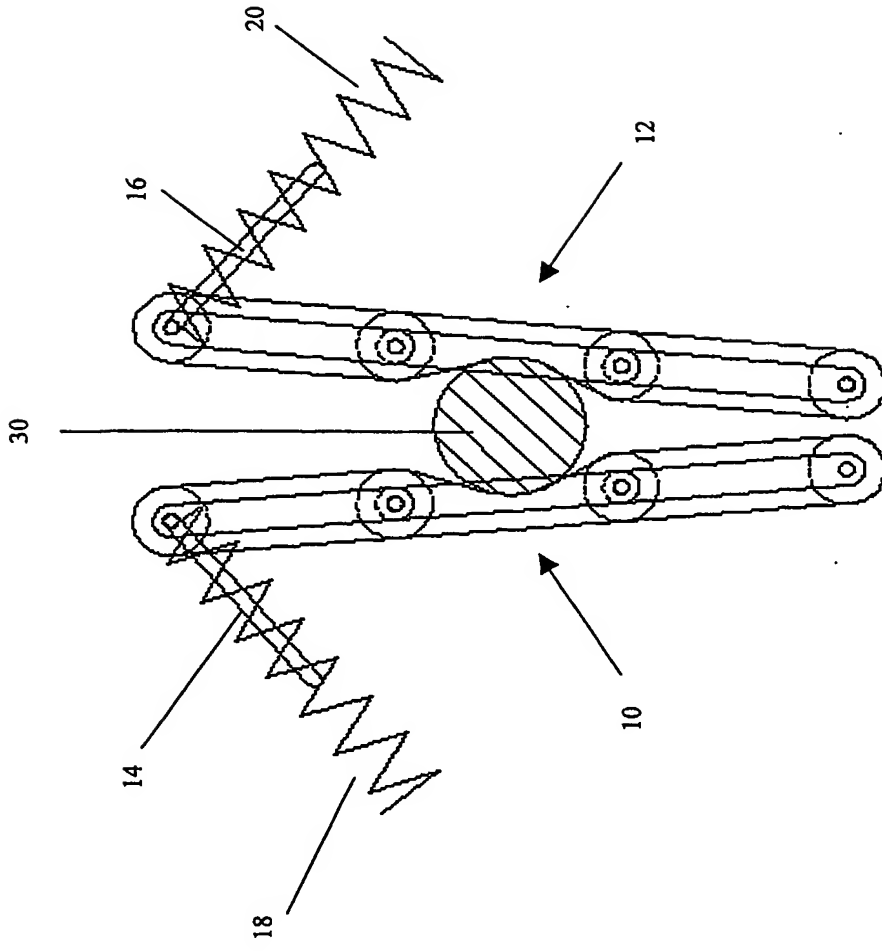


Figure 2

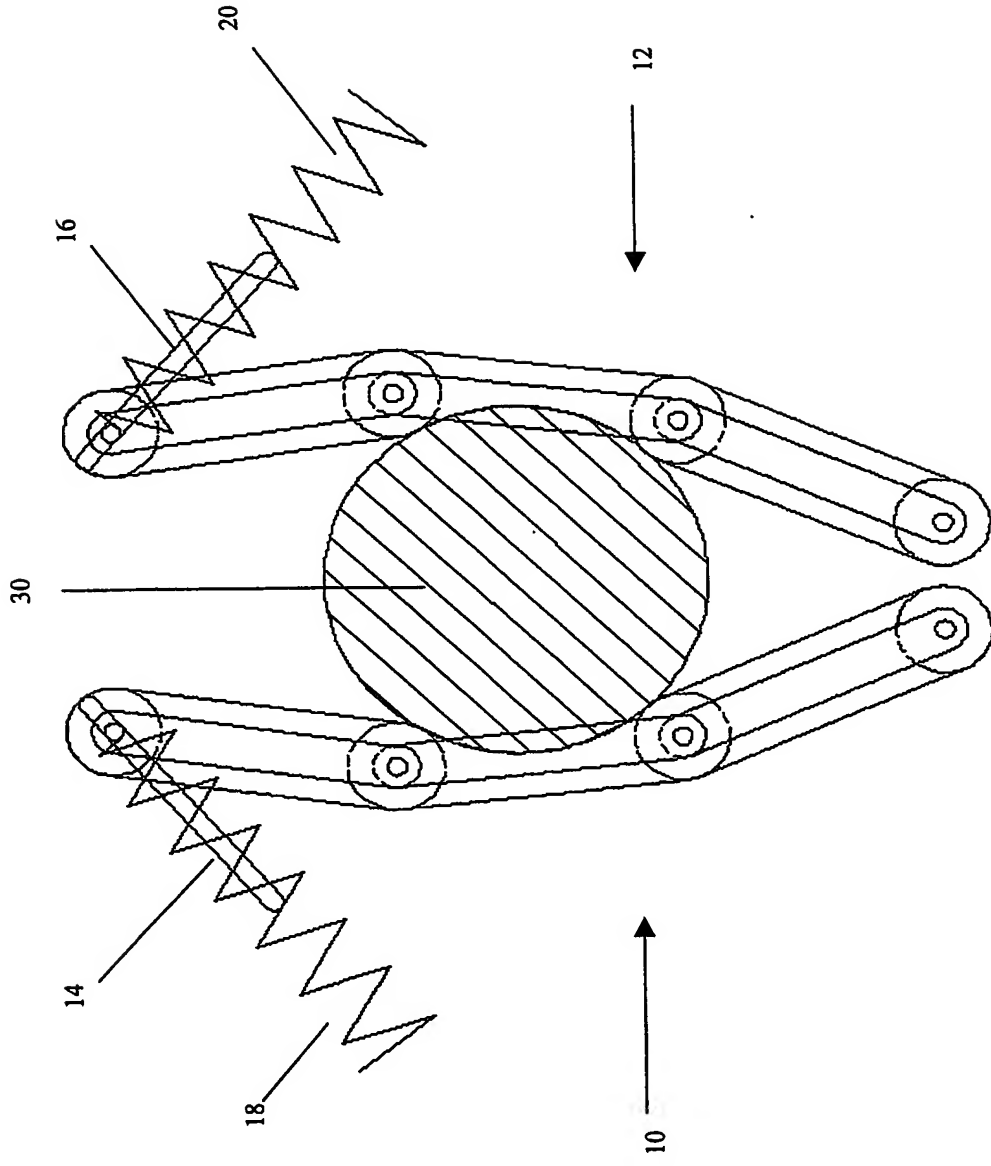


Figure 3

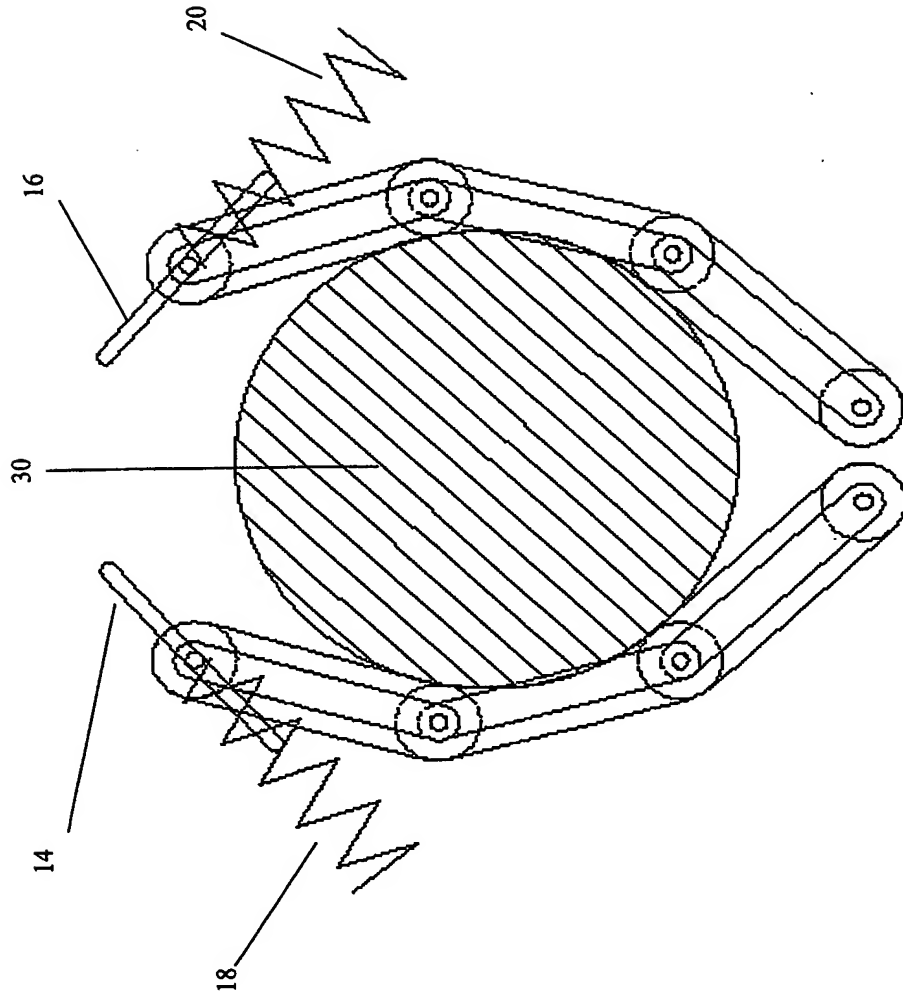


Figure 4

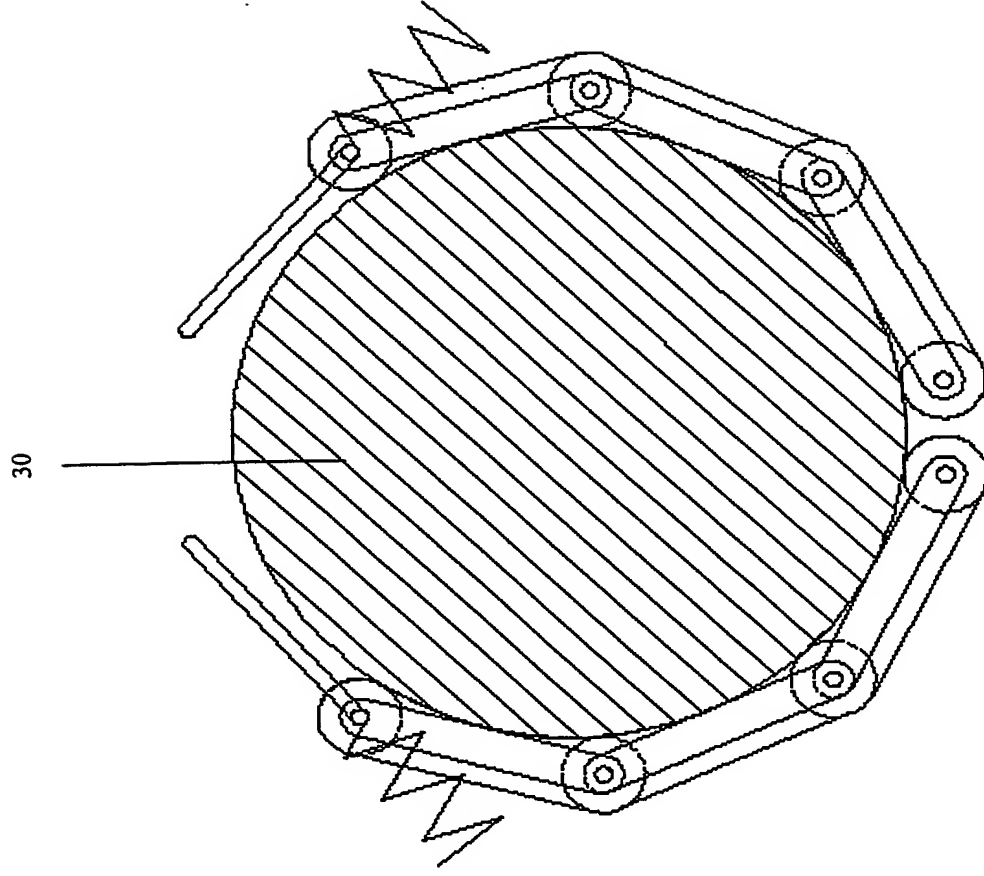


Figure 5

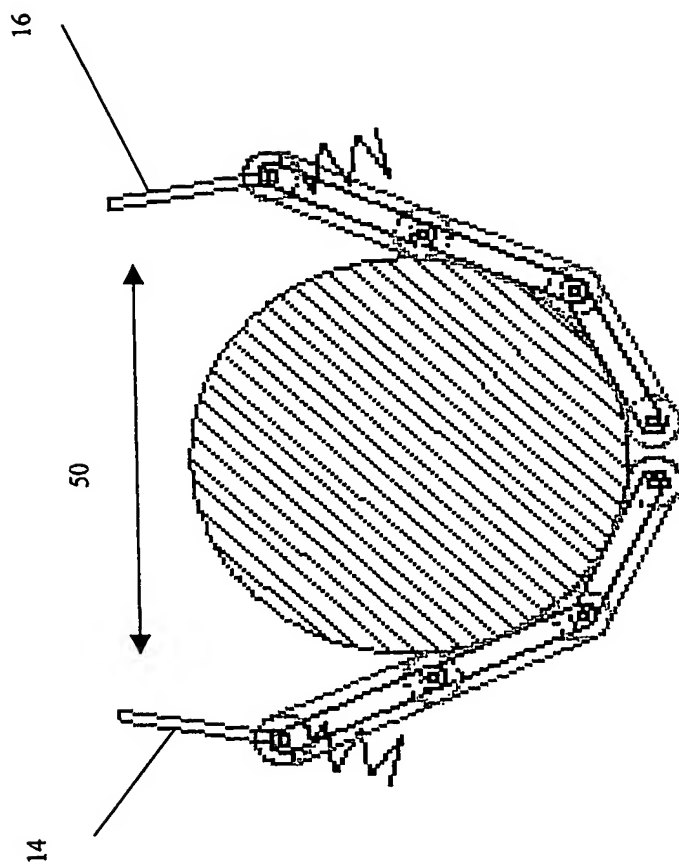


Figure 6

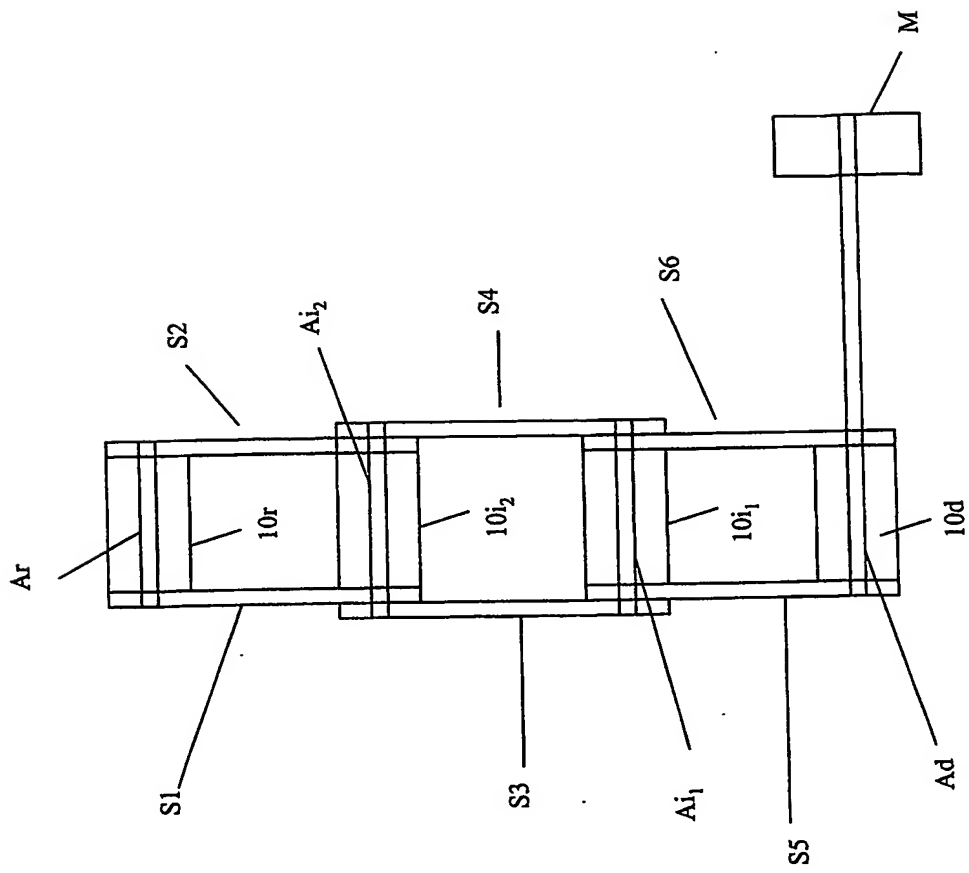


Figure 7

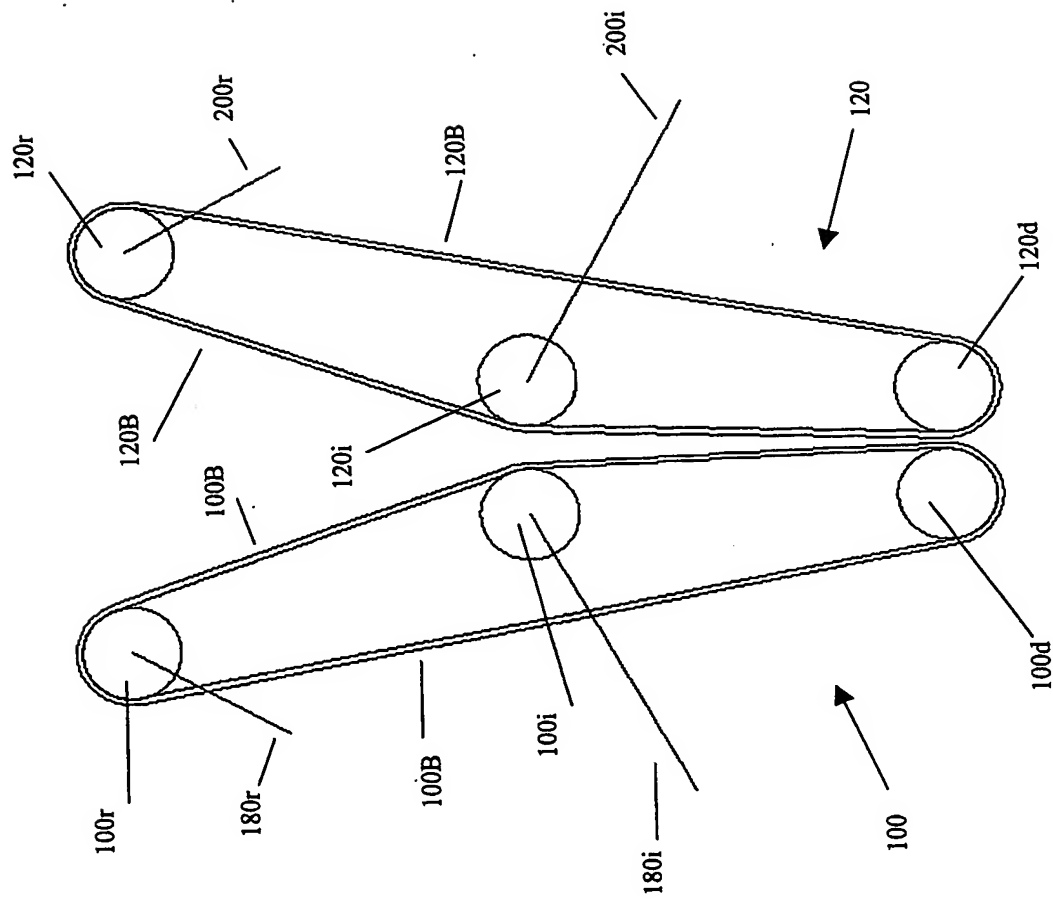


Figure 8A



9/26

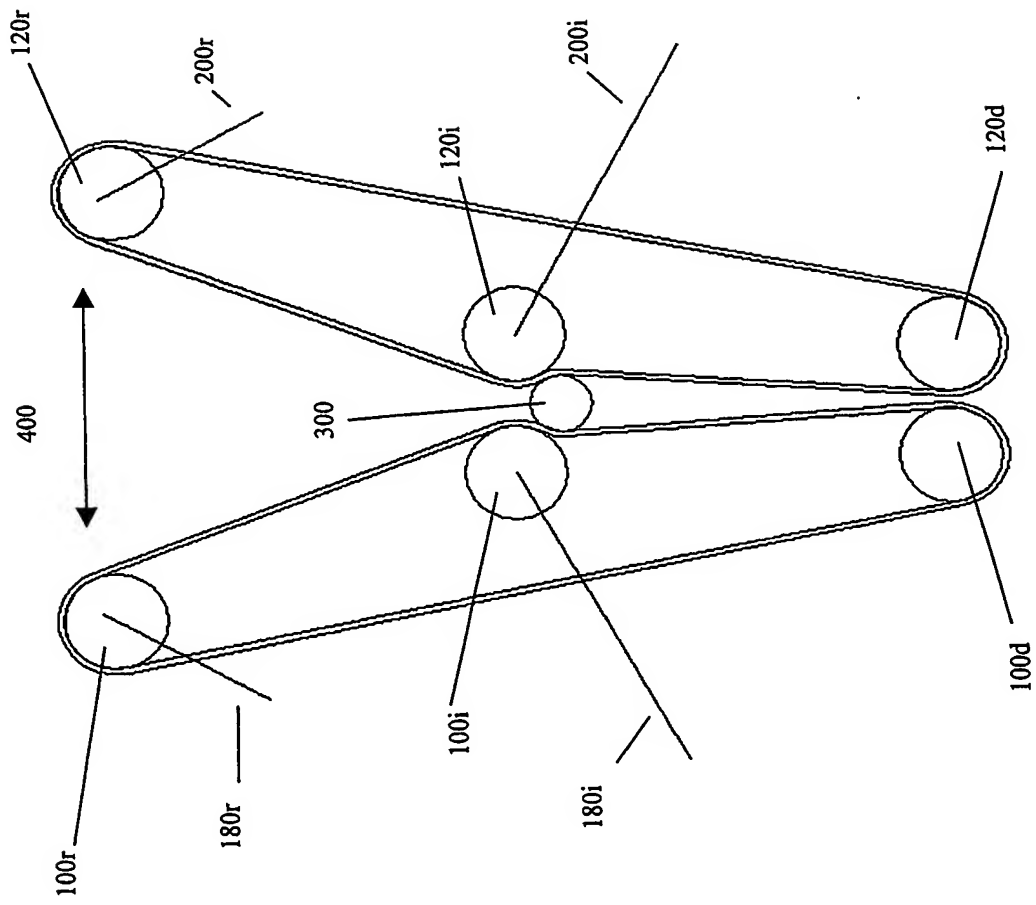


Figure 8B

10/26

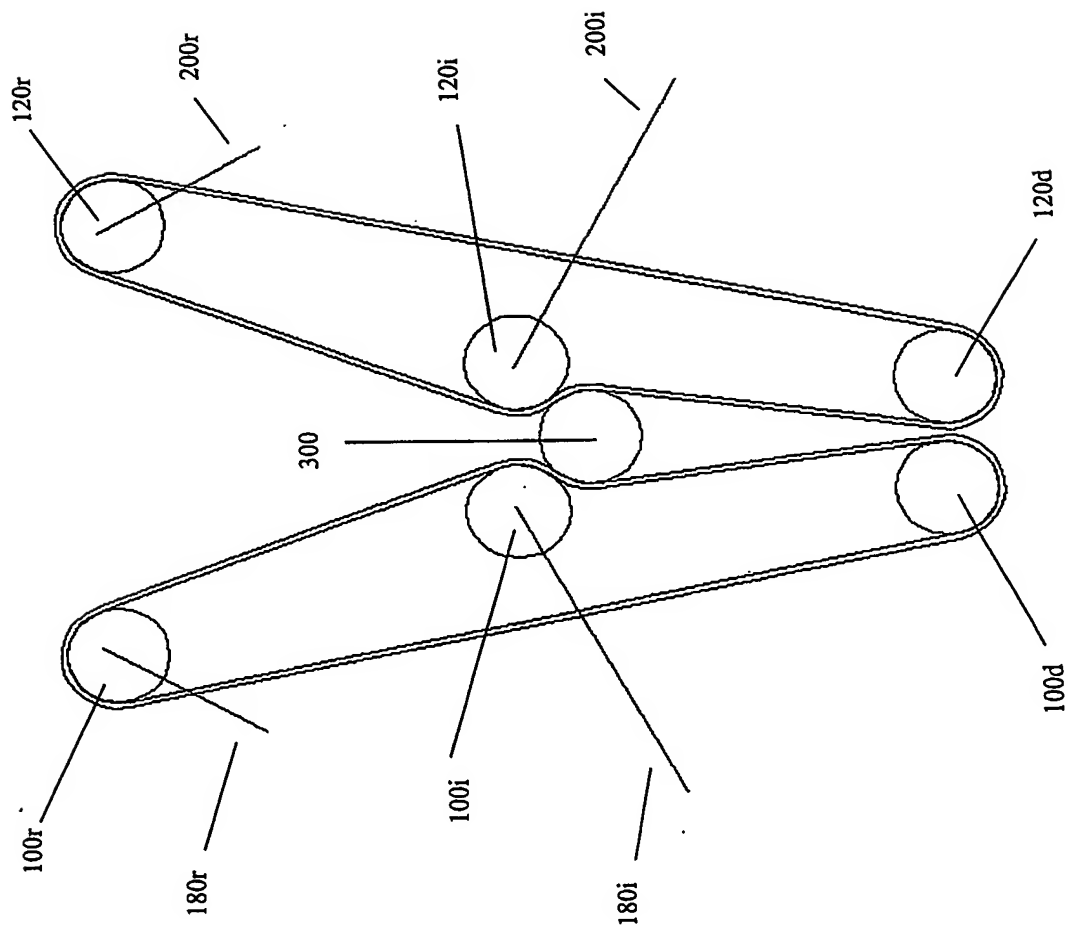


Figure 8C



Figure 8D

12/26

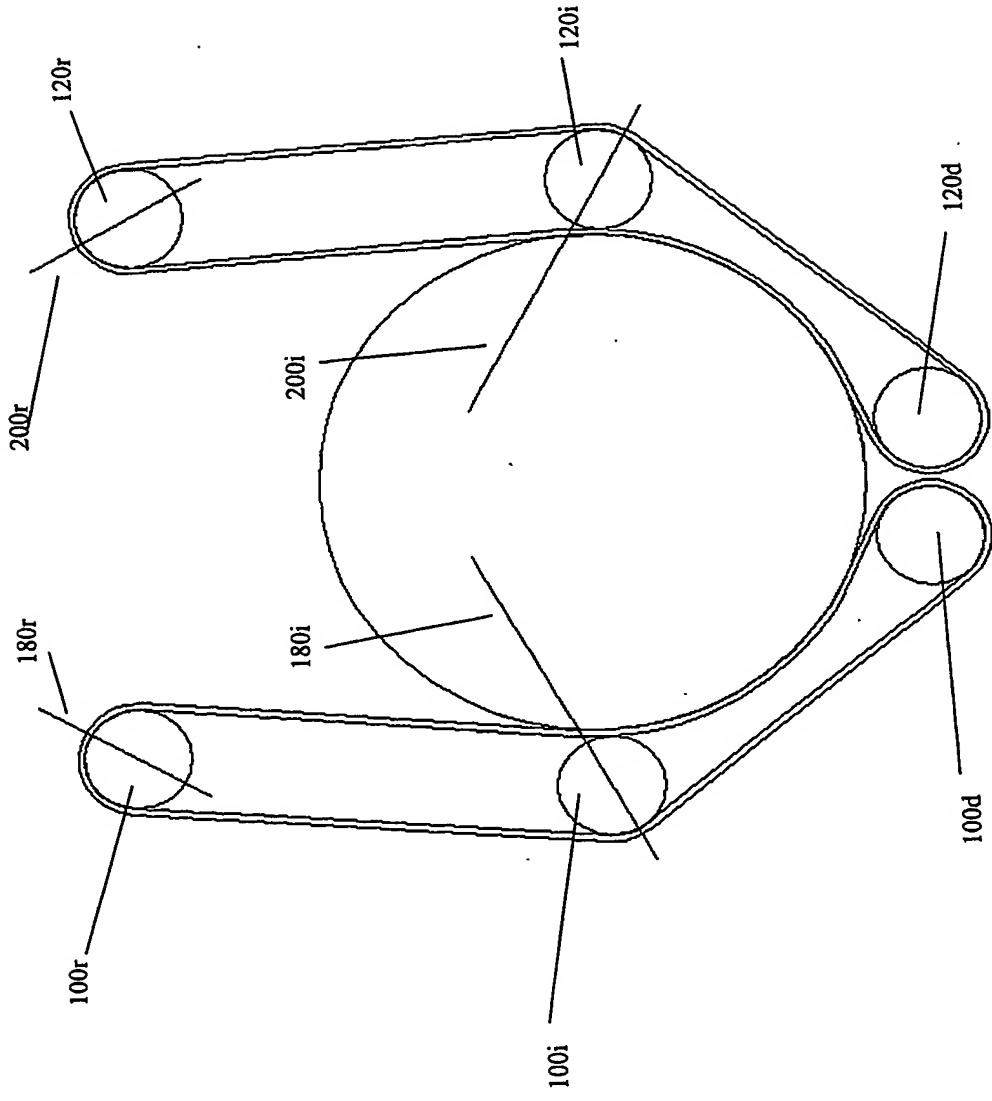


Figure 8E

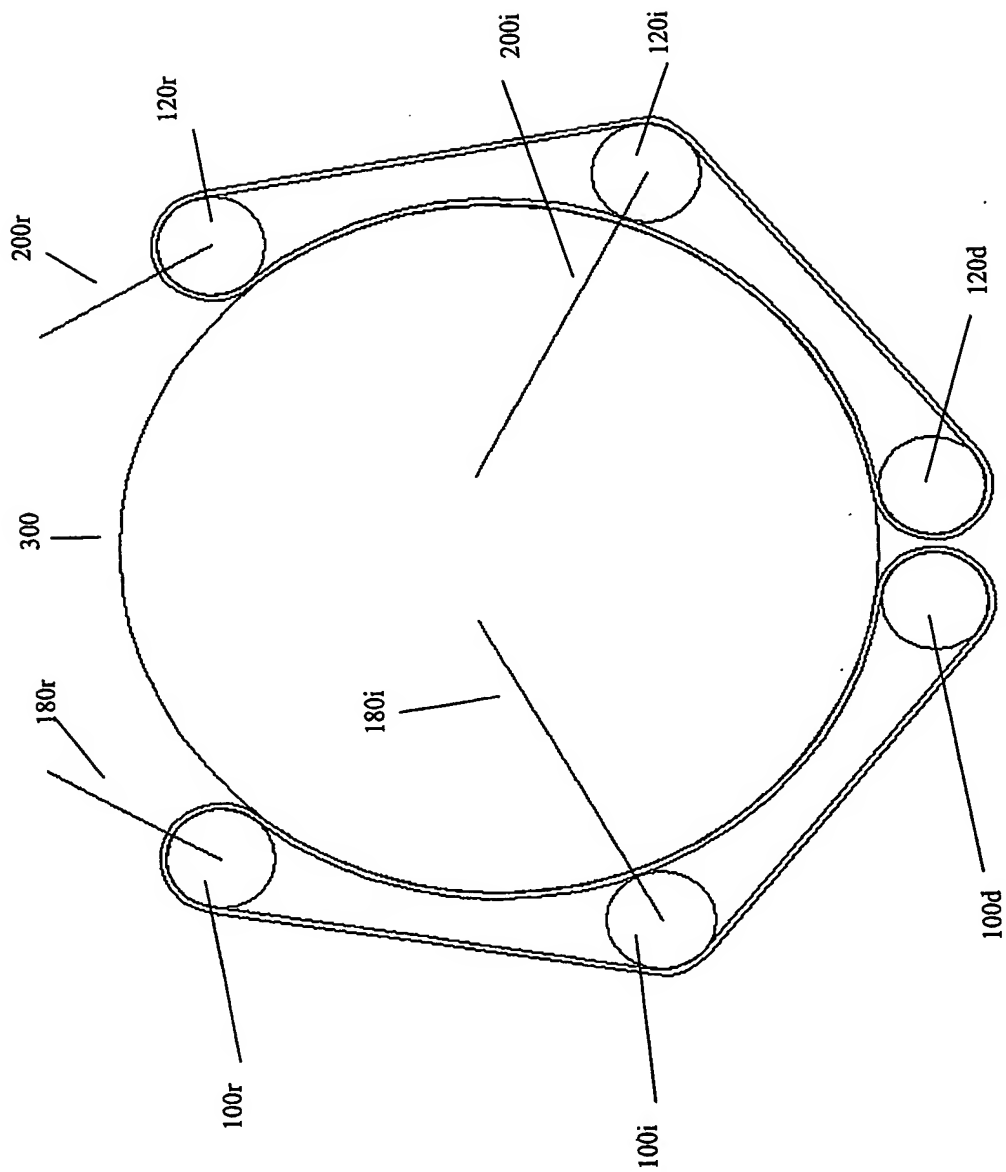


Figure 8F

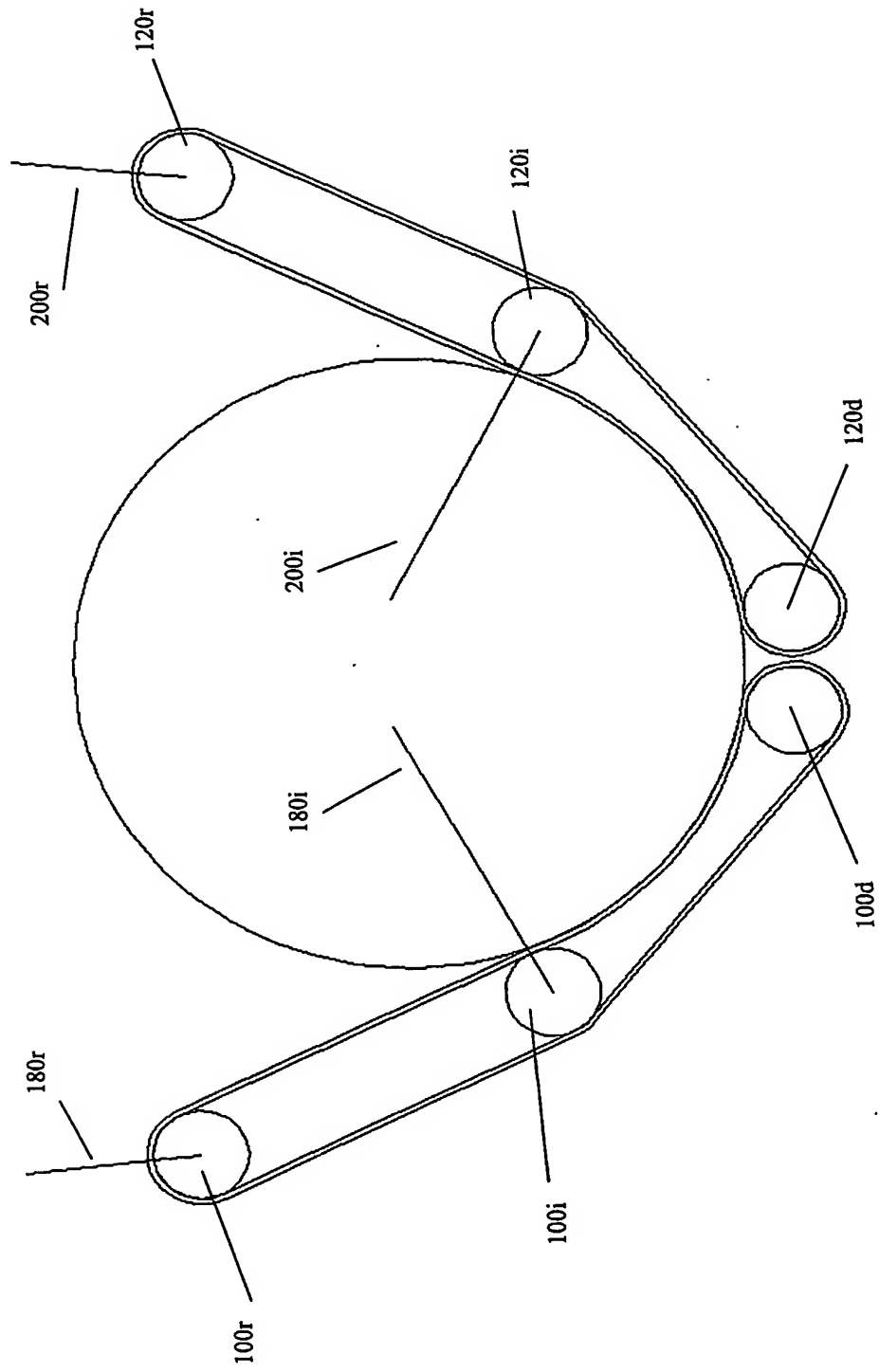


Figure 8G

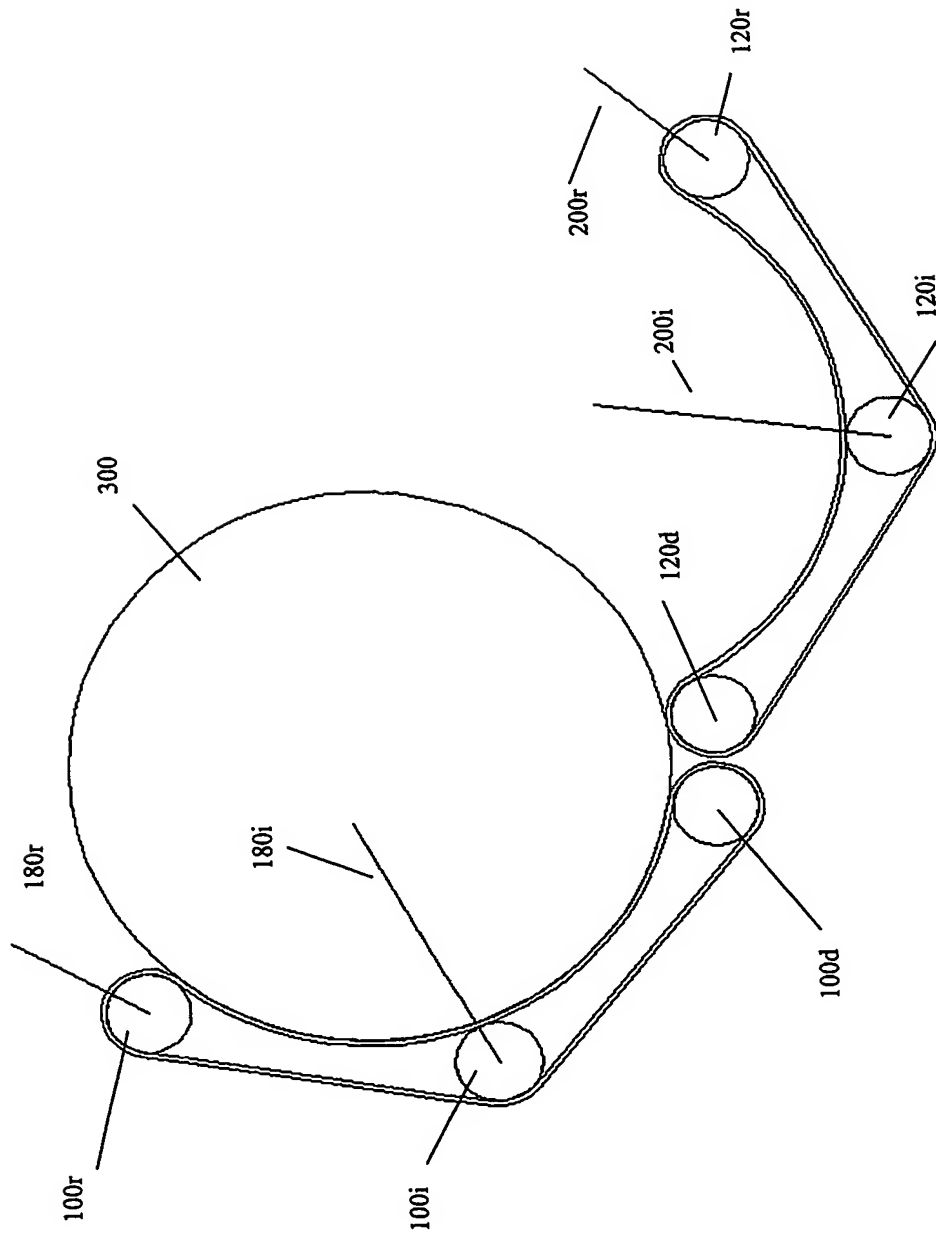


Figure 8H

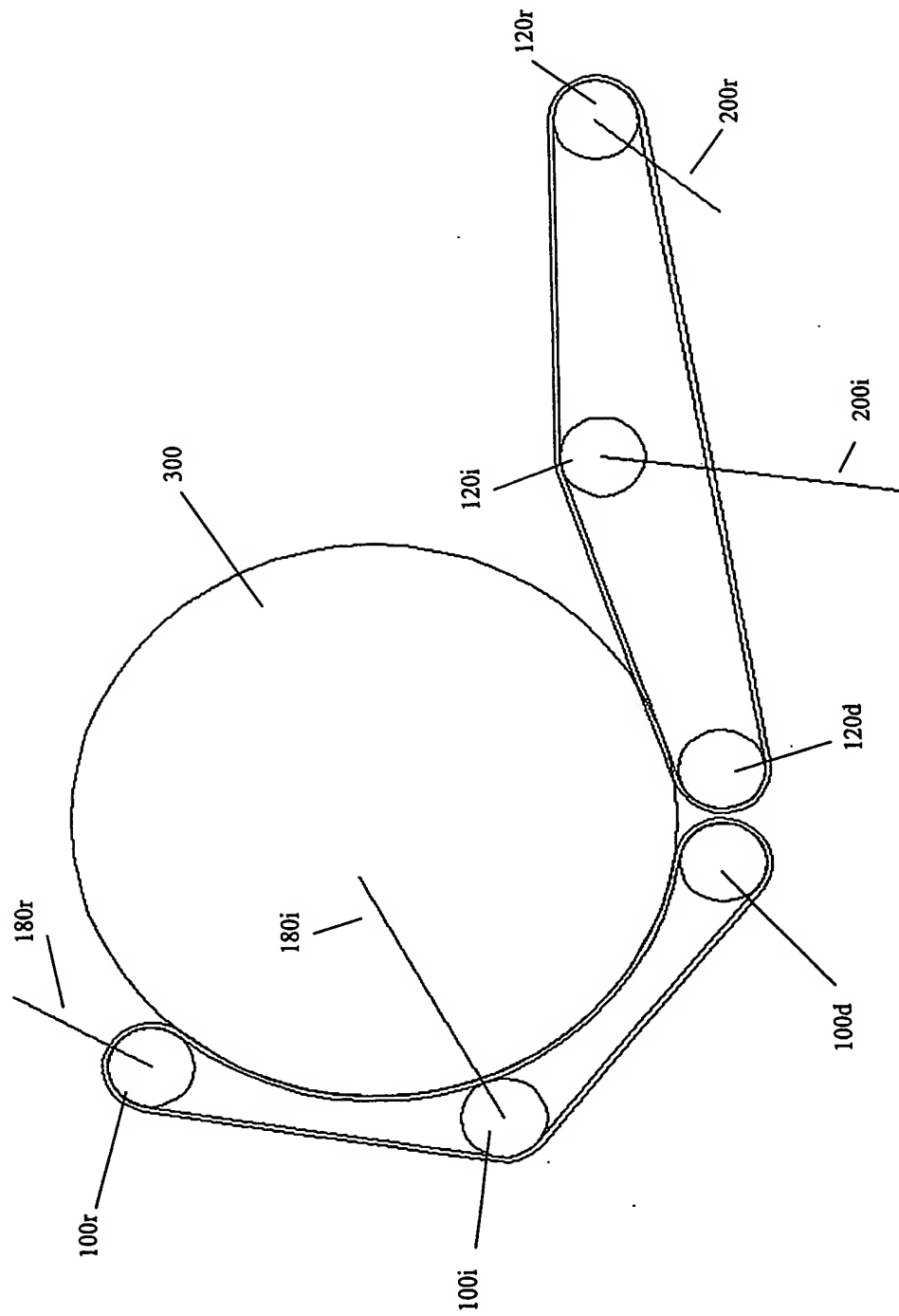


Figure 8I



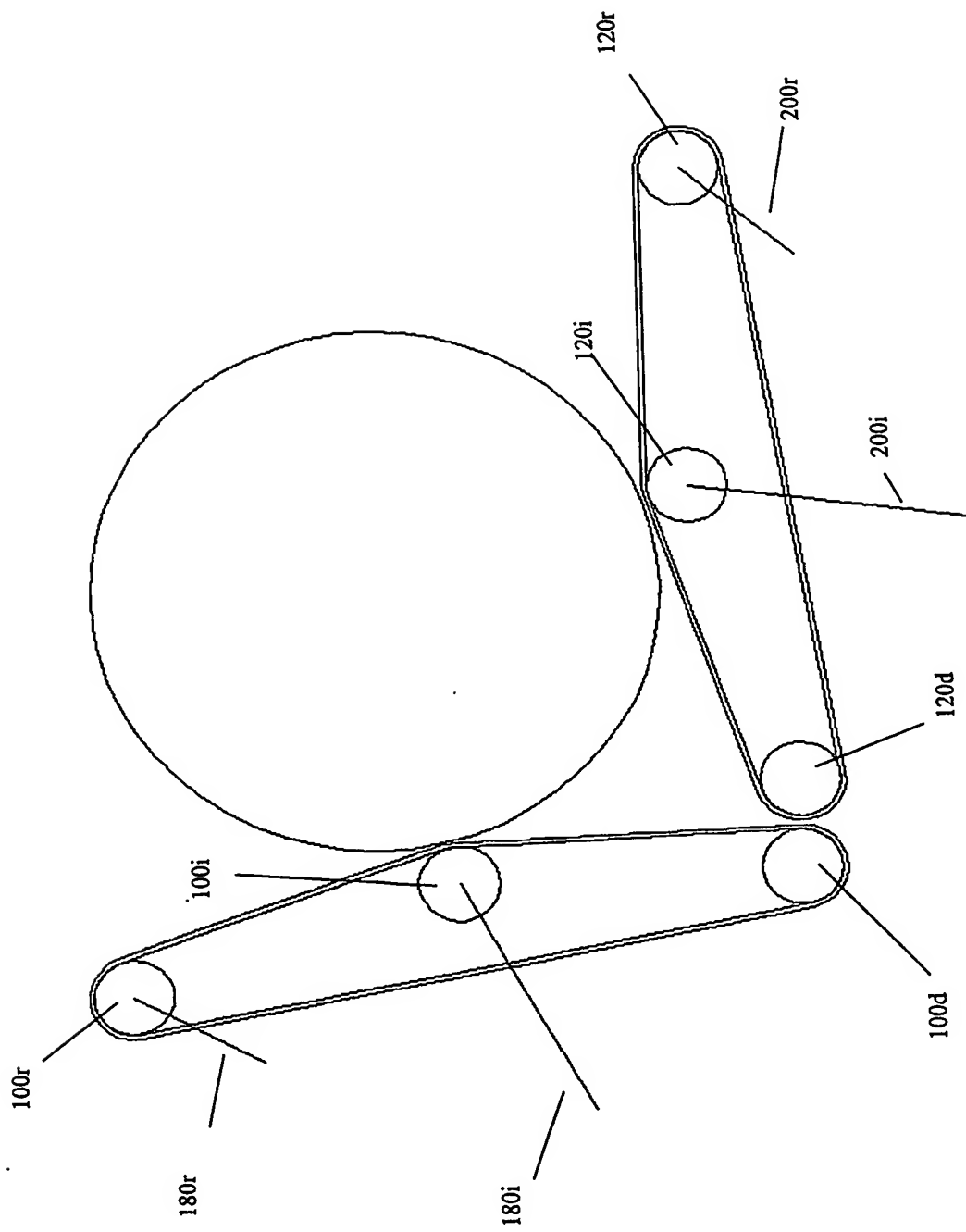


Figure 8J

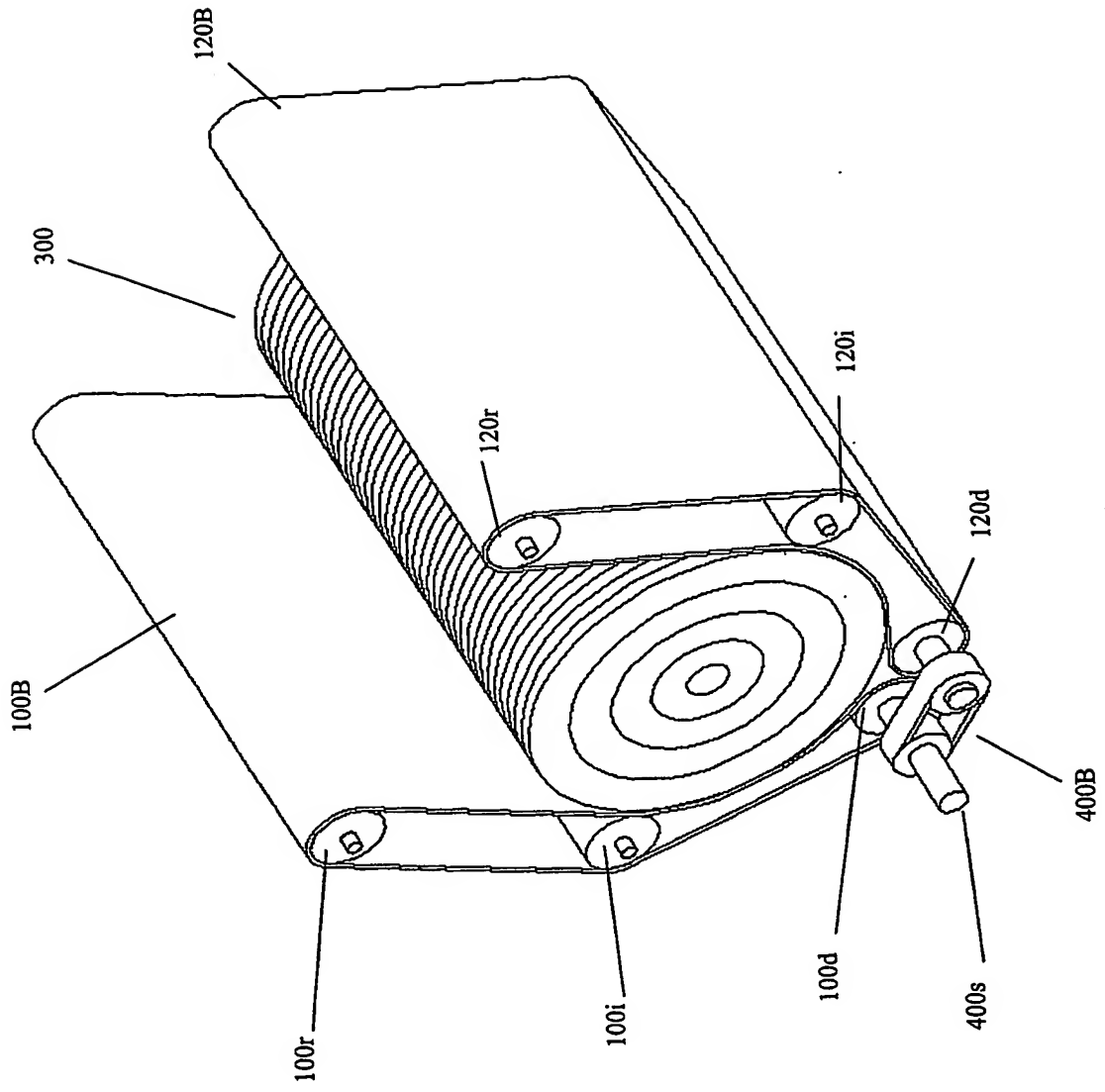


Figure 9

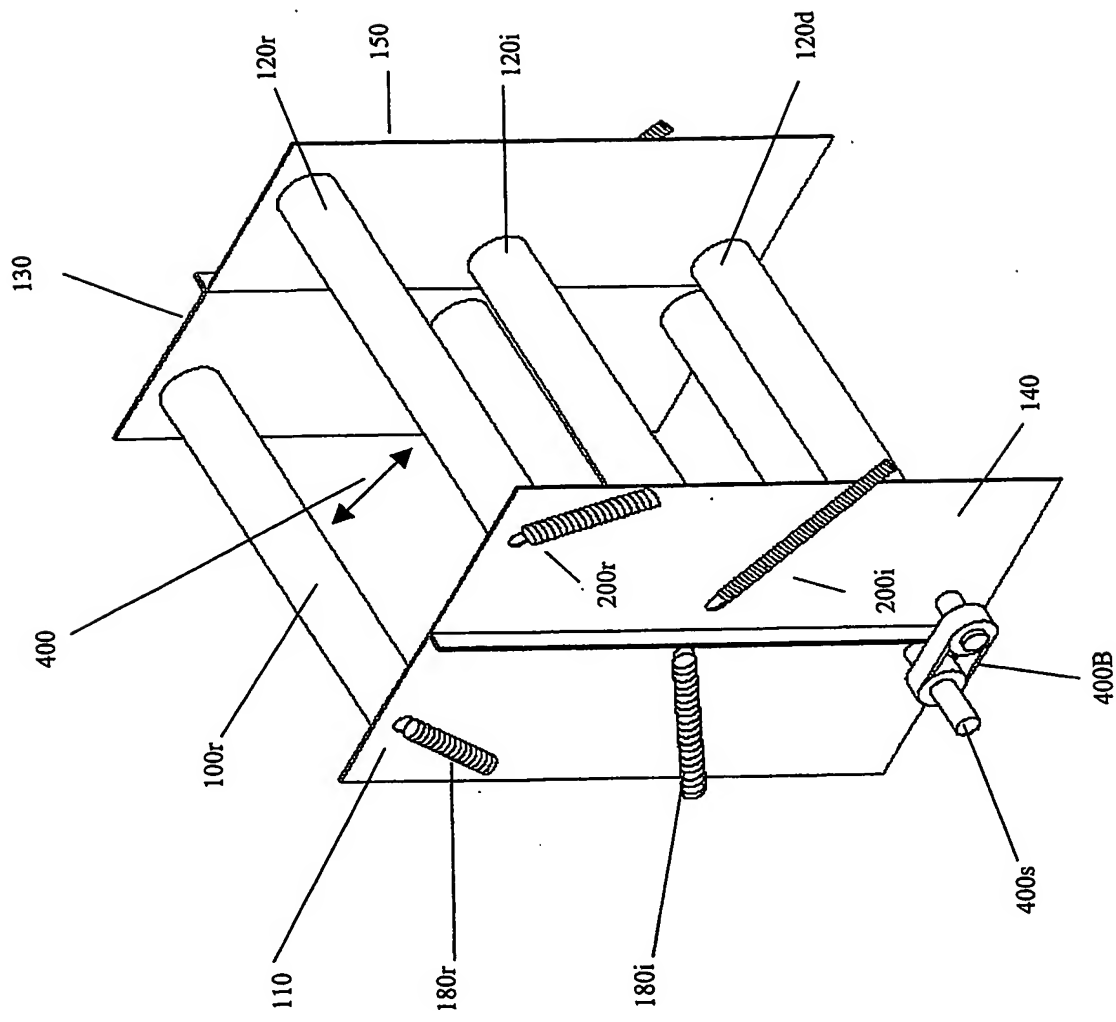


Figure 10

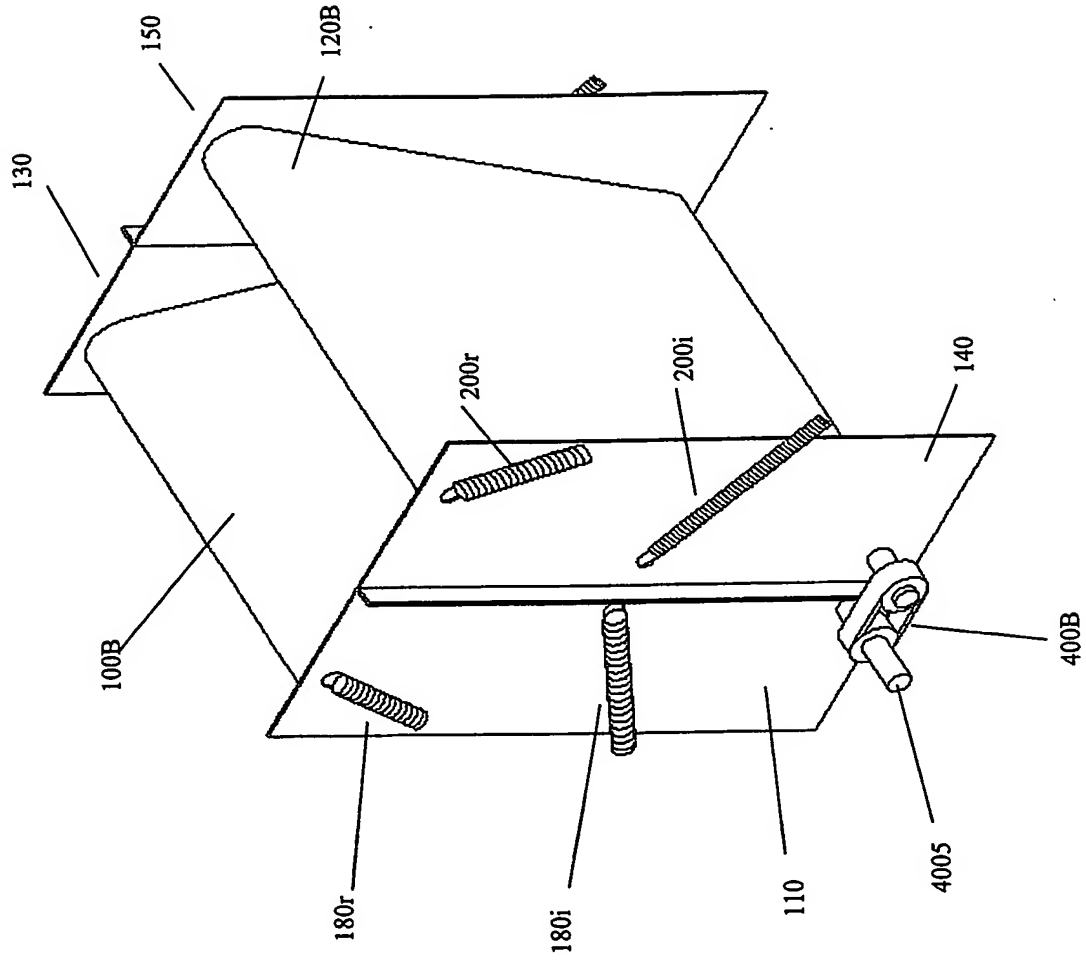


Figure 11

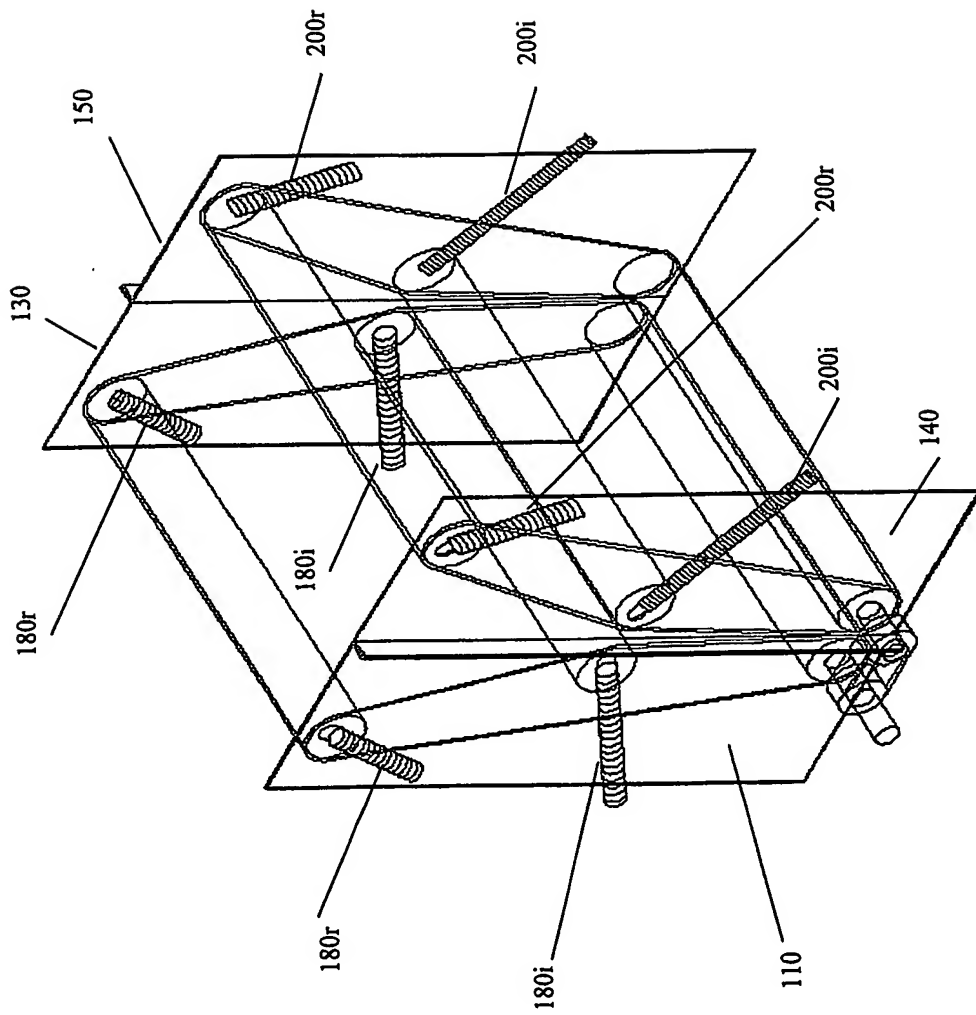


Figure 12

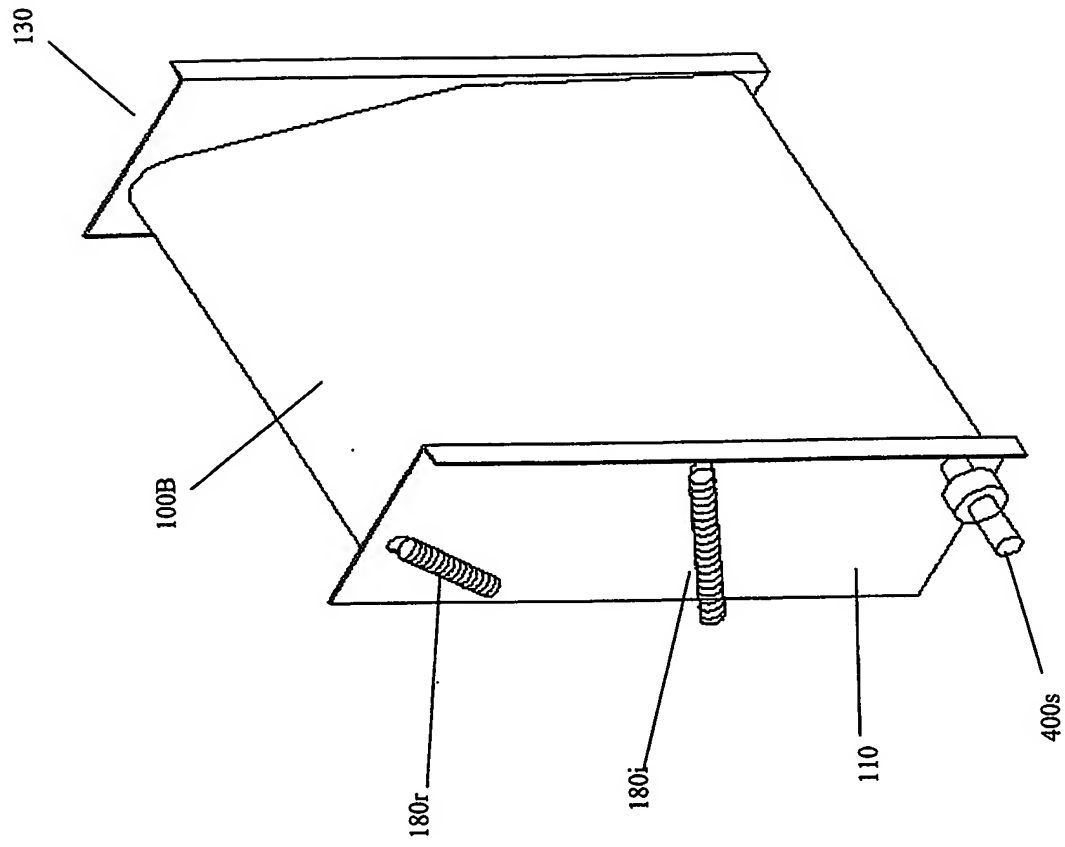


Figure 13

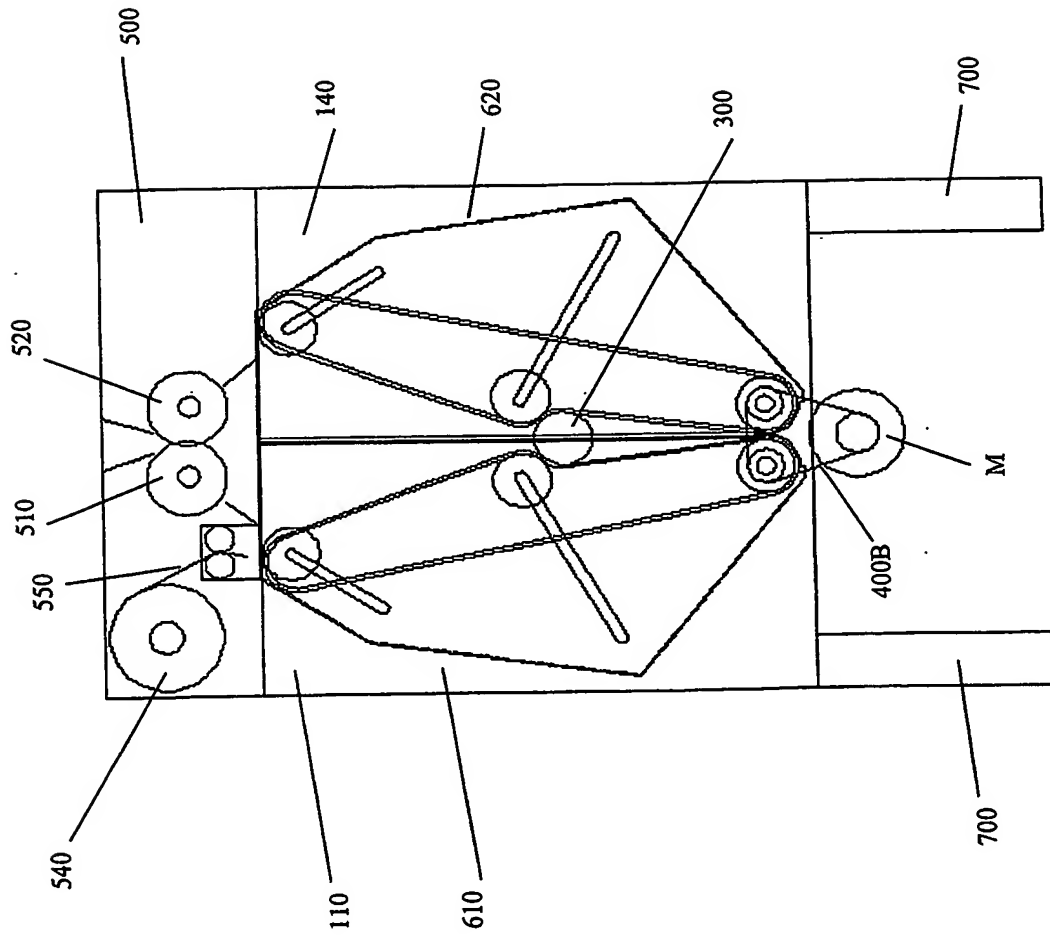


Figure 14A

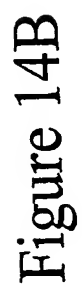


Figure 14B



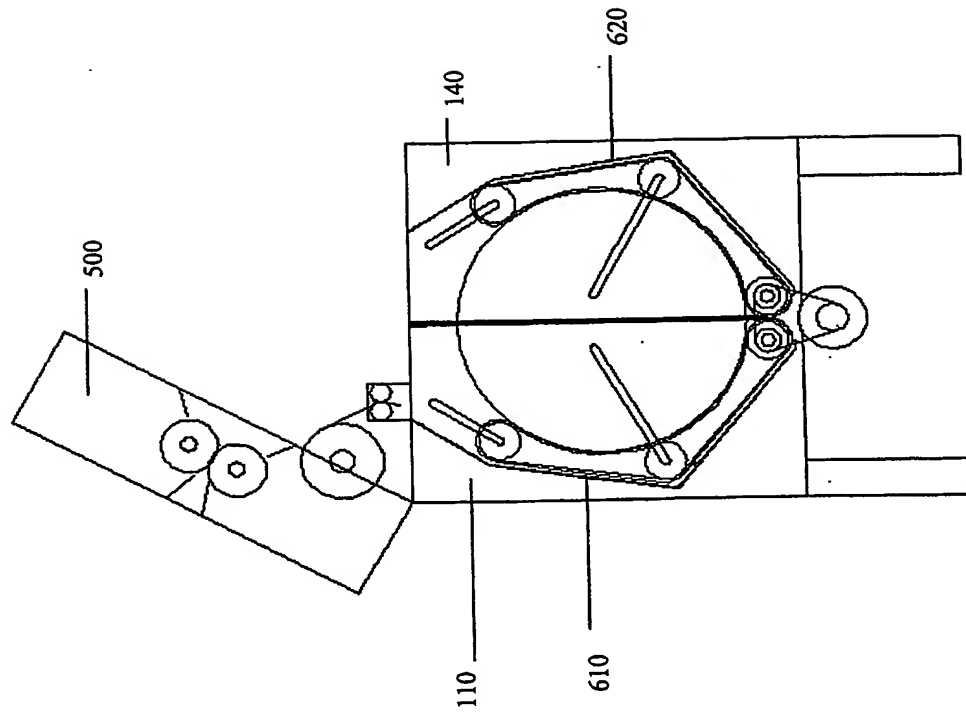


Figure 14C

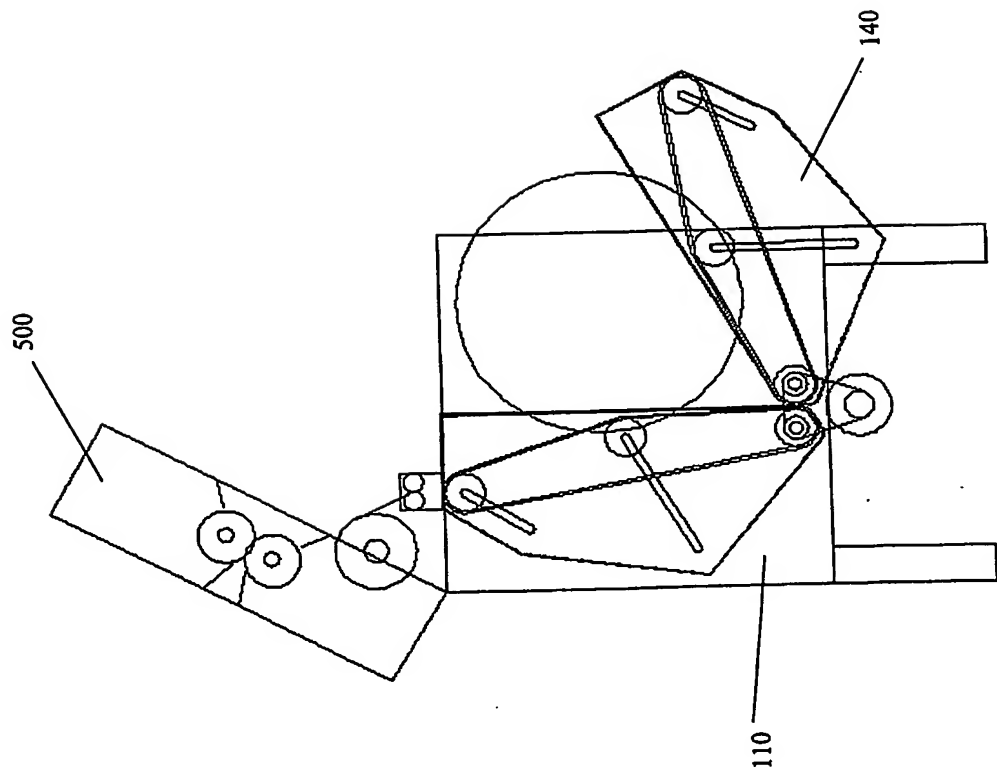


Figure 14D

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB04/004450

International filing date: 21 October 2004 (21.10.2004)

Document type: Certified copy of priority document

Document details: Country/Office: GB  
Number: 0324942.2  
Filing date: 25 October 2003 (25.10.2003)

Date of receipt at the International Bureau: 25 November 2004 (25.11.2004)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record.**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**